

Election Notice

FINRA Board Large Firm Governor Election

Summary

On May 16, 2022, FINRA announced via [Election Notice 05/16/22](#) upcoming elections to fill one Small Firm Governor seat and one Large Firm Governor seat on the FINRA Board of Governors (FINRA Board).

The Large Firm Governor nominee, Christopher W. Flint, has changed firm affiliations and no longer qualifies to run for reelection to the FINRA Board. FINRA's Nominating & Governance Committee will identify a new Large Firm Governor candidate, after which FINRA will announce the nominee and begin the special election process to fill this Large Firm Governor vacancy on the FINRA Board.

The election to fill the Small Firm Governor vacancy is not impacted and will proceed as previously announced. Petitions for eligible individuals to be included on the ballot for the election of a Small Firm Governor are due by June 30, 2022, and the election will begin on or about July 20, 2022, and will conclude at FINRA's Annual Meeting, which will take place on or about Friday, August 19, 2022.

Questions regarding this *Election Notice* may be directed to Marcia E. Asquith, Executive Vice President and Corporate Secretary, or Jennifer Piorko Mitchell, Vice President and Deputy Corporate Secretary, at Corporate.Secretary@finra.org or (202) 728-8949.

June 9, 2022

Suggested Routing

- ▶ Executive Representatives
- ▶ Senior Management

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