

## Appendix 2—Additional Resources

### Regulatory Notices and Guidance

- ▶ [Regulatory Notice 21-44](#) (Business Continuity Planning and Lessons from the COVID-19 Pandemic)
- ▶ [Regulatory Notice 21-42](#) (FINRA Alerts Firms to “Log4Shell” Vulnerability in Apache Log4j Software)
- ▶ [Regulatory Notice 21-30](#) (FINRA Alerts Firms to a Phishing Email Campaign Using Multiple Imposter FINRA Domain Names)
- ▶ [Regulatory Notice 21-29](#) (FINRA Reminds Firms of their Supervisory Obligations Related to Outsourcing to Third-Party Vendors)
- ▶ [Regulatory Notice 21-18](#) (FINRA Shares Practices Firms Use to Protect Customers From Online Account Takeover Attempts)
- ▶ [Regulatory Notice 20-32](#) (FINRA Reminds Firms to Be Aware of Fraudulent Options Trading in Connection With Potential Account Takeovers and New Account Fraud)
- ▶ [Regulatory Notice 20-30](#) (Fraudsters Using Registered Representatives Names to Establish Imposter Websites)
- ▶ [Information Notice 03/26/20](#) (Measures to Consider as Firms Respond to the Coronavirus Pandemic (COVID-19))
- ▶ [Regulatory Notice 20-13](#) (FINRA Reminds Firms to Beware of Fraud During the Coronavirus (COVID-19) Pandemic)

### Reports and Guidance

- ▶ [Cybersecurity Topic Page](#)
- ▶ [Report on Selected Cybersecurity Practices – 2018](#)
- ▶ [Report on Cybersecurity Practices – 2015](#)
- ▶ [Customer Information Protection Topic Page](#)

### Compliance Tools

- ▶ [Small Firm Cybersecurity Checklist](#)
- ▶ [Core Cybersecurity Threats and Effective Controls for Small Firms](#)
- ▶ [Firm Checklist for Compromised Accounts](#)
- ▶ [Cross-Market Options Supervision: Potential Intrusions Report Card](#)

## Non-FINRA Resources

- ▶ [Federal Bureau of Investigation \(FBI\)](#)
- ▶ [FBI Internet Crime Complaint Center \(IC3\)](#)
- ▶ [Cybersecurity and Infrastructure Security Agency \(CISA\)](#)
- ▶ [Stop Ransomware](#)
- ▶ [Joint FBI and CISA Cybersecurity Advisory Zeppelin Ransomware](#)
- ▶ [FinCEN Advisory to Financial Institutions on Cyber-Events and Cyber-Enabled Crime](#)
- ▶ [FinCEN Advisory on Ransomware and the Use of the Financial System to Facilitate Ransom Payments](#)
- ▶ [FinCEN Analysis Reveals Ransomware Reporting in BSA Filings Increased Significantly During the Second Half of 2021](#) (Nov. 1, 2022)
- ▶ [Department of Homeland Security Ransomware Fact Sheet](#)
- ▶ U.S. Department of Treasury, [Updated Advisory on Potential Sanctions Risks for Facilitating Ransomware Payments](#) (Sept. 21, 2021).
- ▶ [National Conference of State Legislatures \(NSCL\) State Security Breach Notification Laws](#)
- ▶ [No More Ransom](#)