Appendix 2—Additional Resources

Regulatory Notices and Guidance
- **Regulatory Notice 21-44** (Business Continuity Planning and Lessons from the COVID-19 Pandemic)
- **Regulatory Notice 21-42** (FINRA Alerts Firms to “Log4Shell” Vulnerability in Apache Log4j Software)
- **Regulatory Notice 21-30** (FINRA Alerts Firms to a Phishing Email Campaign Using Multiple Imposter FINRA Domain Names)
- **Regulatory Notice 21-29** (FINRA Reminds Firms of their Supervisory Obligations Related to Outsourcing to Third-Party Vendors)
- **Regulatory Notice 21-18** (FINRA Shares Practices Firms Use to Protect Customers From Online Account Takeover Attempts)
- **Regulatory Notice 20-32** (FINRA Reminds Firms to Be Aware of Fraudulent Options Trading in Connection With Potential Account Takeovers and New Account Fraud)
- **Regulatory Notice 20-30** (Fraudsters Using Registered Representatives Names to Establish Imposter Websites)
- **Information Notice 03/26/20** (Measures to Consider as Firms Respond to the Coronavirus Pandemic (COVID-19))
- **Regulatory Notice 20-13** (FINRA Reminds Firms to Beware of Fraud During the Coronavirus (COVID-19) Pandemic)

Reports and Guidance
- **Cybersecurity Topic Page**
- **Customer Information Protection Topic Page**

Compliance Tools
- **Small Firm Cybersecurity Checklist**
- **Core Cybersecurity Threats and Effective Controls for Small Firms**
- **Firm Checklist for Compromised Accounts**
- **Cross-Market Options Supervision: Potential Intrusions Report Card**
Non-FINRA Resources

- Federal Bureau of Investigation (FBI)
- FBI Internet Crime Complaint Center (IC3)
- Cybersecurity and Infrastructure Security Agency (CISA)
- Stop Ransomware
- Joint FBI and CISA Cybersecurity Advisory Zeppelin Ransomware
- FinCEN Advisory to Financial Institutions on Cyber-Events and Cyber-Enabled Crime
- FinCEN Advisory on Ransomware and the Use of the Financial System to Facilitate Ransom Payments
- FinCEN Analysis Reveals Ransomware Reporting in BSA Filings Increased Significantly During the Second Half of 2021 (Nov. 1, 2022)
- Department of Homeland Security Ransomware Fact Sheet
- National Conference of State Legislatures (NSCL) State Security Breach Notification Laws
- No More Ransom