



**(Refer to UPC# 02-2023) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #03-2023) January 12, 2023**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: Redwood Tr Inc (RWTRP)**

**January 18, 2023**

**Class of Security: Pfd Ser A Preferred Stock**

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.