

ENROLLING IN THE AG EVEP WHEN ALREADY ENROLLED IN THE MQP

This user guide details how to enroll in NASAA’s Exam Validity Extension Program for broker-dealer agents (AG EVEP) when already enrolled in FINRA’s Maintaining Qualifications Program (MQP).

If you wish to learn more about enrolling in NASAA’s EVEP for investment adviser representatives (IAR EVEP), please refer to the IAR EVEP user guide.

Accessing FinPro

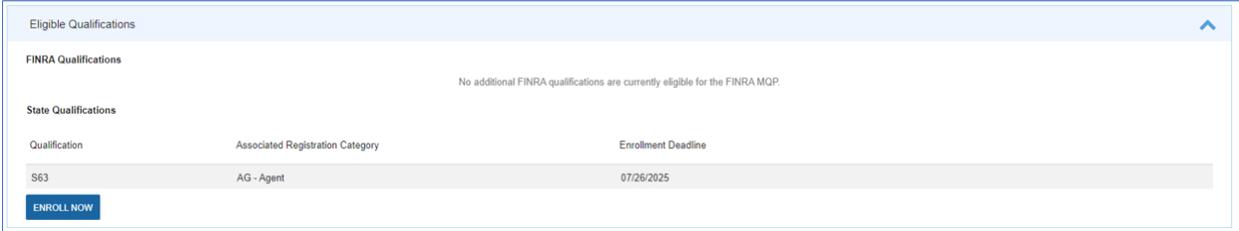
MQP and EVEP enrollment is only available in your FinPro account. Instructions to set up a FinPro account are available [here](#).

If you have logged in to FinPro before, you can navigate directly to [FinPro page](#).

Enrolling in the AG EVEP When Already Enrolled in the MQP



Once logged in, FinPro users who are already enrolled in the MQP and are eligible to add AG EVEP will see a banner in the **Reminders** section of the page. Select the **Learn More** button to begin the enrollment process for AG EVEP.



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Learn More will take individuals to the Eligible Qualifications section of FinPro to view which qualifications are eligible to be enrolled. To continue to enroll in AG EVEP, click **Enroll Now**.

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What is the FINRA Maintaining Qualifications Program (MQP)?

The FINRA MQP permits individuals who have terminated their representative or principal registration(s) to maintain their qualification(s) for up to five years. Participating in the FINRA MQP does not eliminate the two-year qualification termination period. Rather, it provides participants with an alternative means of staying current on their regulatory and securities knowledge for up to five years, following the termination of their registration(s), by taking continuing education (CE) courses. Individuals participating in the FINRA MQP who subsequently return to a firm will not be required to retake qualification exam(s) to reregister as a representative or principal.

How does one participate in the FINRA MQP?

To enroll, an individual must sign into their FinPro account, follow the prompts to select the qualification(s) they want to maintain and pay the enrollment fee. To maintain their FINRA MQP participation, an individual must pay the annual fee and complete their assigned annual CE learning plan by the due date specified in FinPro.

What is the cost for participating in the FINRA MQP?

The FINRA MQP has an annual program fee of \$100 regardless of the number of registration categories for which an individual elects to remain qualified.

Where can one learn more about the FINRA MQP?

To learn more about the FINRA MQP, visit the [MQP webpage](#).

BACK CONTINUE

Upon clicking the **Enroll Now** button, individuals are taken to a page that describes the MQP program. Click **Continue** to proceed.

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What is NASAA's Exam Validity Extension Program (EVEP)?

NASAA's EVEP provides an opportunity for registered representatives to extend their S63 NASAA qualification exam for a period up to five years by opting into the program, paying an annual fee and maintaining certain continuing education requirements. Registered representatives who opt into NASAA's EVEP can extend the validity of their exams past the standard two years when not registered with a jurisdiction. This will benefit the individual when they reenter the industry and apply for registration in a jurisdiction that has opted into the program. Please note, if the jurisdiction does not participate in NASAA's EVEP, the exam extension will not be recognized in their jurisdiction. Visit [NASAA's EVEP webpage](#) for a list of participating jurisdictions.

How does one participate in NASAA's EVEP?

To participate, an individual must (1) have been registered in the terminated registration category for at least one year immediately prior to the termination of that category; (2) elect to participate within two years from the termination of a registration; (3) must be enrolled and in good standing in the FINRA MQP. To enroll, an individual must sign in to their FinPro account, follow the prompts to select the qualification(s) they would like to maintain and pay the enrollment fee. To maintain their NASAA EVEP status, an individual must, on an annual basis, pay the NASAA EVEP fee and complete the applicable continuing education.

What is the cost for participating in NASAA's EVEP?

There is a \$35 annual fee per qualification enrolled in NASAA's EVEP.

Where can one learn more about NASAA's EVEP?

To learn more about NASAA's EVEP, visit [NASAA's EVEP webpage](#).

BACK CONTINUE

Upon clicking the **Continue** button, individuals are taken to a page that describes the AG EVEP program. Click **Continue** to proceed.

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Verify Email

Notifications for the FINRA MQP and NASAA's EVEP will be sent to the email addresses below. Please update as necessary. Changes made are saved to your FinPro profile.

Personal Email*

Business Email (optional)

BACK SAVE & CONTINUE

On the next screen, confirm the email address before clicking the **Save and Continue** button. Be sure to provide an email address that can be accessed if an

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individual leaves the current firm. The email address provided will be used for future password resets and program reminders to complete the Learning Plan and to re-enroll.

FINRA Qualifications

FINRA Qualifications are extended under FINRA's [Maintaining Qualifications Program \(MQP\)](#). MQP Annual participation fee is \$100, regardless of the number of eligible qualifications selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input type="checkbox"/> SIE	GS - Full Registration/General Securities Representative	Enrolled
<input type="checkbox"/> S7TO	GS - Full Registration/General Securities Representative	Enrolled

State Qualifications

State Qualifications are extended under NASAA's [Exam Validity Extension Program \(EVEP\)](#). The annual participation fee for EVEP is \$35 for each qualification selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/> S63	AG - Agent	07/26/2025

I have read and agree to the [FINRA MQP Terms and Conditions](#) and the [FINRA MQP Payment Agreement](#).

I have read and agree to [NASAA's EVEP Terms and Conditions](#) and [NASAA's EVEP Payment Agreement](#).

Select the S63 State Qualification to enroll in the program before the applicable deadline. The FINRA Qualifications already enrolled in the MQP are displayed in the FINRA Qualifications section.

Agree to the EVEP **Terms and Conditions** and the EVEP **Payment Agreement** to continue.

Next, the individual is directed to the **My Requirements** page which details enrollment fees and CE learning plans to be assigned.

Individuals enrolling in the AG EVEP will be required to complete the assigned MQP CE learning plan. There are no additional AG EVEP CE obligations.

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After selecting **Continue to Payment**, input the details of the desired payment method and click **Submit Payment**.

✓ Payment Success

NASAA Program Payment Request Confirmation

Thank you. Your payment of \$35.00 for a NASAA program has been successfully submitted to FINRA.

Your confirmation number is xxxxxxxx.

You may wish to print this page and save your confirmation number for your records.

Once FINRA has processed your request, a confirmation will be emailed to john.q.doe@yahoo.com.

[OK](#)

Once the payment for the AG EVEP enrollment has been submitted, a confirmation screen will display.

Click the **OK** link at the bottom of the confirmation screen to return to the FinPro Summary page.

MQP/EVEP Enrollment Successful

Your payment is being processed. Once that is complete, your MQP/EVEP information will be available. Until then, your MQP/EVEP Status will be displayed as Payment Pending.

[OK](#)

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Click **OK** to acknowledge a successful enrollment and that payment is being processed.

The individual's AG EVEP enrollment status will be displayed near the top of the page.

The status will remain **Eligible** until the payment process has been completed. Refresh the page to get a status update.

MQP and EVEP Information in FinPro

To view additional information, select either the current **MQP/EVEP Status**, the **MQP/EVEP** tab on the left navigation panel, or the **Manage My MQP/EVEP** link in the menu on the right.

There are three elements in the MQP section of an enrolled individual's FinPro profile:

1. Enrolled Qualifications: This section details qualifications that have been successfully enrolled in the MQP and EVEP.

FinPro MQP/EVEP Section – Current Enrollments

The screenshot shows the 'MQP/EVEP' section of a FinPro profile. It is titled 'Enrolled Qualifications' and is divided into two main sections: 'Currently Enrolled Qualifications' and 'State Qualifications'.

Currently Enrolled Qualifications

FINRA Qualifications

FINRA Qualifications are extended under FINRA's Maintaining Qualifications Program (MQP).

Qualifying Exams	Registration Category	Current Validity Date	5 Year Validity Date	Link to MQP Learning Plan
SIE	-	03/25/2027	03/25/2028	
S770	GS - Full Registration/General Securities Representative	03/25/2025	03/25/2028	
S7970	IB - Investment Banking Representative	03/25/2025	03/25/2028	

State Qualifications

State Qualifications are extended under NASAA's Exam Validity Extension Program (EVEP). To see a list of currently participating states, please see ____.

Qualifying Exams	Registration Category	Original Validity	Current Validity Date	5 Year Validity Date	Required Learning
S63	AG - Agent	03/25/2025	03/25/2025	03/25/2028	Link to MQP Learning Plan

2. Previously Enrolled Qualifications: This section details qualifications that were enrolled in the MQP and EVEP but are no longer enrolled.

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Previously Enrolled Qualifications			
FINRA Qualifications			
Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date
SIE	-	08/04/2023	08/10/2023
S7TO	GS - Full Registration/General Securities Representative	08/04/2023	08/10/2023
State Qualifications			
Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date
S63	AG - Agent	08/04/2023	08/10/2023

3. Eligible Qualifications: This section details qualifications eligible for the MQP and EVEP that are not enrolled. Click **Enroll Now** to begin the enrollment process for any of the eligible qualifications.

Eligible Qualifications		
FINRA Qualifications		
Qualification	Associated Registration Category	Enrollment Deadline
SIE & S7TO	IB - Investment Banking Representative	08/03/2025
State Qualifications		
Qualification	Associated Registration Category	Enrollment Deadline
S63	AG - Agent	08/03/2025
<input type="button" value="ENROLL NOW"/>		