

Financial Professional Gateway

ENROLLING IN NASAA's IAR EVEP

Eligible individuals can use this guide to enroll in the North American Securities Administrators Association (NASAA)'s Investment Adviser Representative (IAR) Exam Validity Extension Program (EVEP) to extend the validity of their S65 exam, or S65 component of the S66 exam, for up to five years in participating jurisdictions.

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Introduction

Eligible individuals have the opportunity to extend the validity of certain state examinations for up to five years in the EVEP, created by the NASAA. All eligible individuals can enroll in the EVEP; however, exam extensions are only recognized in jurisdictions that have adopted the program at the time the individual applies for the RA registration.

EVEP Participating Jurisdictions

Use the above link to review the current list of jurisdictions that participate in EVEP.

Eligibility Conditions

To be eligible for the IAR EVEP, an individual must:

1. be qualified for the RA registration by passing either the S66 exam (for which they now have a valid S65 credit) or the S65 exam;
2. have been registered as an investment adviser representative for at least one year immediately preceding the termination of the investment adviser registration;
3. elect to participate in the EVEP within two years from the effective date of the termination of the investment adviser registration;
4. have the status of IAR CE Not Required, IAR CE Required, or IAR CE Satisfied if the individual is already part of NASAA's Investment Adviser Representative Continuing Education (IAR CE) program; and
5. complete all continuing education requirements prior to the CRD System Shutdown of each year in which the individual participates in the IAR EVEP.

The following conditions will preclude participation in the IAR EVEP. If either of these events occurs before or during an individual's participation in the IAR EVEP, they will not be eligible to enroll or continue enrollment.

- An individual has a status of IAR CE Failed to Renew (FTR) or Termed if they are already part of NASAA's IAR CE program or fails to complete IAR CE for two consecutive years during their participation.
- An individual is subject to a statutory disqualification as defined in Section 3(a)(39) of the Exchange Act while registered as an investment adviser representative or at any period after termination of the registration.

Note: Individuals who used a professional designation instead of an exam to qualify for the RA registration, may continue to use the professional designation to qualify for registration as long as that professional designation is maintained, but a valid S65 or S65 credit is required to enroll in IAR EVEP.

Enrolling in the IAR EVEP

Eligible individuals may elect to participate in IAR EVEP up to two years after their investment adviser registration is terminated via a full [Form U5](#).

Accessing FinPro

IAR EVEP enrollment is only available through your Financial Professional Gateway (FinPro) account. Instructions to create an account are available in the [Creating a FinPro Account](#) guide.

If you have logged into FinPro before, you can navigate directly to the [FinPro login](#) page.

Enrolling in the IAR EVEP

If you are eligible for NASAA's IAR EVEP, FINRA's Maintaining Qualifications Program (MQP) and/or NASAA's EVEP for broker-dealer agents (AG), you will see an invitation to enroll for each program displayed on your **Dashboard My Task** list. Click on **View Program Details** for IAR EVEP to get more information, then click **ENROLL** to participate in the program.

The screenshot shows the FINRA Financial Professional Gateway interface. At the top, it says 'FINRA Financial Professional Gateway User: [redacted] (CRD# [redacted])'. Below this is a sidebar with icons for home, tasks, and education. The main area is titled 'My Dashboard' and contains a 'My Tasks' section. The first task is 'Confirm that your home address is up to date:' with a 'View Details' link and a 'Current Address' field. The second task is 'Investment Adviser Representative Exam Validity Extension Program (IAR EVEP)' with an 'Eligible' status and an 'until' date. It includes a 'View Program Details' link and an 'ENROLL' button. The IAR EVEP task is highlighted with a red border.

The enrollment process starts with high-level descriptions for each available program.

Program Enrollment

About MQP/EVEP Select Qualifications My Requirements Payment

What is the FINRA Maintaining Qualifications Program (MQP)?

The FINRA MQP permits individuals who have terminated their representative or principal registration(s) to maintain their qualification(s) for up to five years. Participating in the FINRA MQP does not eliminate the two-year qualification termination period. Rather, it provides participants with an alternative means of staying current on their regulatory and securities knowledge for up to five years, following the termination of their registration(s), by taking continuing education (CE) courses. Individuals participating in the FINRA MQP who subsequently return to a firm will not be required to retake qualification exam(s) to reregister as a representative or principal.

How does one participate in the FINRA MQP?

To enroll, an individual must sign into their FinPro account, follow the prompts to select the qualification(s) they want to maintain and pay the enrollment fee. To maintain their FINRA MQP participation, an individual must pay the annual fee and complete their assigned annual CE learning plan by the due date specified in FinPro.

What is the cost for participating in the FINRA MQP?

The FINRA MQP has an annual program fee of \$100 regardless of the number of registration categories for which an individual elects to remain qualified.

Where can one learn more about the FINRA MQP?

To learn more about the FINRA MQP, visit the [MQP webpage](#).

[BACK](#) [CONTINUE](#)

Click **CONTINUE** to see NASAA's EVEP description. Click **BACK** to return to your FinPro account.

Program Enrollment

About MQP/EVEP Select Qualifications My Requirements Payment

What is NASAA's Exam Validity Extension Program (EVEP)?

NASAA's EVEP provides an opportunity for registered representatives and investment adviser representatives to extend their NASAA qualification exams (Series 63, 65 and/or the AG and/or RA qualifications acquired through the Series 66) for a period up to five years by opting into the program, paying an annual fee and maintaining certain continuing education requirements. Registered representatives and investment adviser representatives who opt into NASAA's EVEP can extend the validity of their exams past the standard two years when not registered with a jurisdiction. This will benefit the individual when they reenter the industry and apply for registration in a jurisdiction that has opted into the program. Please note, if the jurisdiction does not participate in NASAA's EVEP, the exam extension will not be recognized in their jurisdiction. Visit the [NASAA EVEP webpage](#) for a list of participating jurisdictions.

How does one participate in NASAA's EVEP?

To participate, an individual must (1) have been registered in the terminated registration category for at least one year immediately prior to the termination of that category; (2) elect to participate within two years from the termination of a registration; (3) For NASAA's AG EVEP, must be enrolled and in good standing in the FINRA MQP and for NASAA's IAR EVEP, must not have an IAR CE deficiency. To enroll, an individual must sign in to their FinPro account, follow the prompts to select the qualification(s) they would like to maintain and pay the enrollment fee. To maintain their NASAA EVEP status, an individual must, on an annual basis, pay the NASAA EVEP fee and complete the applicable continuing education.

What is the cost for participating in NASAA's EVEP?

There is a \$35 annual fee per qualification enrolled in NASAA's EVEP.

Where can one learn more about NASAA's EVEP?

To learn more about NASAA's EVEP, visit the [NASAA's EVEP webpage](#).

[BACK](#) [CONTINUE](#)

Click **CONTINUE** to proceed. Click **BACK** to return to your FinPro account.

You will see the eligible qualifications, annual program fees, and the CE learning plan that will be assigned to you, as you move through the enrollment process. Carefully review this information before proceeding with the payment.

Review the list of eligible FINRA and/or state qualifications and the deadlines, then check the box next to the qualification(s) you want to enroll in the program(s).

Note: Enrollment in the MQP is one of the requirements to enroll in the AG EVEP; however, the IAR EVEP does not require enrollment in the MQP.

Financial Professional Gateway

1 About MQP/EVEP
2 Verify Contact
3 Select Qualifications
4 My Requirements
5 Proceed to Payment

FINRA Qualifications

FINRA Qualifications are extended under FINRA's **Maintaining Qualifications Program (MQP)**. MQP Annual participation fee is \$100, regardless of the number of eligible qualifications selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/> SIE & S6TO	IR - Investment Company and Variable Contracts Products Rep.	10/03/2025
<input checked="" type="checkbox"/> SIE & STTO	GS - Full Registration/General Securities Representative	10/03/2025
<input checked="" type="checkbox"/> S26	IP - Investment Company and Variable Contracts Products Principal	10/03/2025

State Qualifications

State Qualifications are extended under NASAA's **Exam Validity Extension Program (EVEP)**. The annual participation fee for EVEP is \$35 for each qualification selected. Individuals who enroll later may be required to pay multiple annual fees depending on when they enter the program.

Qualifications	Associated Registration Category	Enrollment Deadline
<input checked="" type="checkbox"/> S63	AG - Agent	10/03/2025
<input checked="" type="checkbox"/> S65	RA - Investment Advisor Representative	10/03/2025

Looking for the S66? In order to extend the valid components of the Series 66 exam, an individual should choose the associated registration categories above. To extend the RA and/or AG qualifications of the Series 66, an individual should opt-in to the related Series 63 and/or Series 65.

☐ I have read and agree to the FINRA MQP Terms and Conditions and the FINRA MQP Payment Agreement. *CF*
☐ I have read and agree to NASAA's EVEP Terms and Conditions and NASAA's EVEP Payment Agreement. *CF*

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CONTINUE

Check the box(es) to agree to each of the program-specific **Terms and Conditions** and program-specific **Payment Agreements**, then select **CONTINUE**.

The **My Requirements** page details enrollment fees, the CE that will be required and the due date for completion to remain in the program. Carefully consider whether you can complete the required CE by the due date before proceeding with the payment.

Program Enrollment

About MQP/EVEP

Select Qualifications

My Requirements

Payment

Based on the qualifications that you selected and your termination date, the following annual fees must be paid and the following assignments need to be completed by the due dates shown below to remain in the program. Additional CE requirements will be assigned for each year of participation in the program.

Annual Fees	
2025 NASAA's IAR EVEP Fee	\$35.00

Total Amount Due	\$35.00
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To maintain your state Investment Adviser Representative qualification

Complete 6 credits of Ethics and Professional Responsibility and 6 credits of Products and Practice continuing education annually.

Approved IAR CE Providers can be found [here](#). Click [here](#) to learn more about IAR CE.

Due Date: 12/31/2025

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CONTINUE

Select **CONTINUE** to advance to the payment screen.

Program Enrollment

About MQP/EVEP

Select Qualifications

My Requirements

Payment

PAYMENT METHOD

☐ US Bank Checking Account ☒ Card

Card

Visa, MasterCard, Amex and Discover cards are accepted at this time.

Country

United States of America

Full Name (on card)

Card Number

Expiration Date

Month Year

State/District/Outlying area

ZIP code

TERMS AND CONDITIONS

☒ I agree to the following terms and conditions.

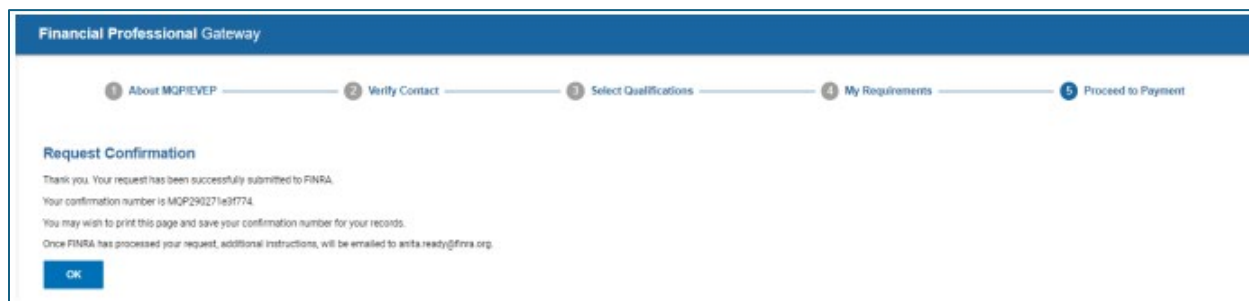
CANCEL

SUBMIT

CHARGES

2025 NASAA's IAR EVEP Fee	\$35.00
Total	\$35.00

Select your payment method and check the box to agree to the terms and conditions, then review before you click **SUBMIT**. Once your payment has been submitted, a confirmation screen will display.



Financial Professional Gateway

1 About MQPIEVP — 2 Verify Contact — 3 Select Qualifications — 4 My Requirements — 5 Proceed to Payment

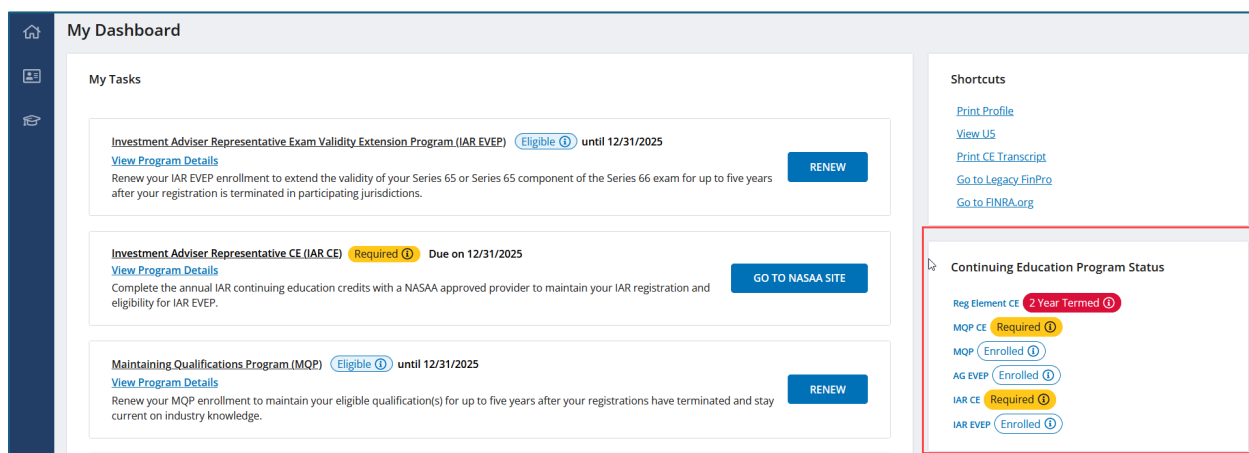
Request Confirmation

Thank you. Your request has been successfully submitted to FINRA.
 Your confirmation number is MQP290271e3f774.
 You may wish to print this page and save your confirmation number for your records.
 Once FINRA has processed your request, additional instructions, will be emailed to anita.ready@finra.org.

OK

Click **OK** at the bottom of the confirmation screen to return to your FinPro **Dashboard** page.

Your enrollment status will be displayed under the **Continuing Education Program Status** section on your FinPro account **Dashboard**. Review the information on your **Continuing Education Details Current CE** tab carefully to understand the program requirements, such as your required CE and CE due dates.



My Dashboard

My Tasks

- Investment Adviser Representative Exam Validity Extension Program (IAR EVEP)** Eligible until 12/31/2025
[View Program Details](#)
 Renew your IAR EVEP enrollment to extend the validity of your Series 65 or Series 65 component of the Series 66 exam for up to five years after your registration is terminated in participating jurisdictions. **RENEW**
- Investment Adviser Representative CE (IAR CE)** Required Due on 12/31/2025
[View Program Details](#)
 Complete the annual IAR continuing education credits with a NASAA approved provider to maintain your IAR registration and eligibility for IAR EVEP. **GO TO NASAA SITE**
- Maintaining Qualifications Program (MQP)** Eligible until 12/31/2025
[View Program Details](#)
 Renew your MQP enrollment to maintain your eligible qualification(s) for up to five years after your registrations have terminated and stay current on industry knowledge. **RENEW**

Shortcuts

- [Print Profile](#)
- [View US](#)
- [Print CE Transcript](#)
- [Go to Legacy FinPro](#)
- [Go to FINRA.org](#)

Continuing Education Program Status

- Reg Element CE 2 Year Term
- MQP CE Required
- MQP Enrolled
- AG EVEP Enrolled
- IAR CE Required
- IAR EVEP Enrolled

EVEP Information in FinPro

Your NASAA IAR EVEP and IAR CE program details are located on the **Current CE** tab of your **My CE** page.

Current Enrollments

Review the information in the **Current CE** tab carefully to understand the program requirements, including tracking your IAR CE course completions and/or program re-enrollment.

The screenshot displays the 'Continuing Education Details' section of the Financial Professional Gateway. The 'Current CE' tab is active, showing progress for three programs: Maintaining Qualifications Program (MQP), Agent Exam Validity Extension Program (AG EVEP), and Investment Adviser Representative CE (IAR CE). The IAR CE section is expanded, showing a progress bar at 0.0 out of 12.0 credits complete. Below this, the 'Investment Adviser Representative Exam Validity Extension Program (IAR EVEP)' is detailed, including a description and a table of exam details.

Exams (1)	Original Validity Date	Current Validity Date	5 Year Validity Date
S65		12/30/2025	04/15/2027

The **Original Validity Date** represents the original validity date of your qualification, also referred to as the two-year termination date. States who do not participate in the IAR EVEP will use this date to determine the validity of your qualification when you re-register in their state. If no end date is listed, please refer to the **Exam History** tab in the **Qualifications** section on your **My Profile** page for validity information.

The **Current Validity Date** represents the last day your qualification will be valid based on your current IAR EVEP status, as of today's date. States who are currently participating in the IAR EVEP will use this date to confirm your qualification validity when you re-register in their state.

The **5 Year Validity Date** represents the last day your qualification will be valid, assuming you maintain enrollment in the IAR EVEP and your IAR CE is completed for the maximum five-year period. If no end date is listed, please refer to the **Exam History** tab in the **Qualifications** section on your **My Profile** page for validity information.

Previously Enrolled Qualifications

The **CE History** tab details qualifications that are no longer enrolled. This section will only appear if you have qualifications that are no longer enrolled.

The screenshot shows the 'My Continuing Education' dashboard. The 'CE History' tab is selected and highlighted with a red box. The dashboard displays various CE status indicators: 'Reg Element CE' (Satisfied), 'MQP' (No Longer Enrolled), 'AG EVEP' (No Longer Enrolled), 'IAR CE' (Not Applicable), and 'IAR EVEP' (No Longer Enrolled). Below these, the 'Continuing Education Details' section shows the 'CE History' tab selected. Under 'Annual Regulatory Element CE', there is a section for 'Maintaining Qualifications Program (MQP)' with a 'View Program Details' link. The 'Previously Enrolled Exams' section contains two tables: 'FINRA Qualifications' and 'State Qualifications'. The 'FINRA Qualifications' table has one row with 'S63' as the Qualifying Exams, 'AG - Agent' as the Registration Category, '06/11/2024' as the Enrollment Date, and '01/26/2025' as the Program Enrollment End Date. The 'State Qualifications' table has two rows: one with 'S63' and 'AG - Agent', and another with 'S65' and 'RA - Investment Adviser Representative'. The row with 'S65' is highlighted with a red box.

Qualifying Exams	Registration Category	Enrollment Date	Program Enrollment End Date
S63	AG - Agent	06/11/2024	01/26/2025
S65	RA - Investment Adviser Representative	06/11/2024	01/26/2025

IAR CE

While enrolled in the IAR EVEP, individuals must complete 12 credits of IAR CE annually (six Products and Practice credits and six Ethics and Professional Responsibility credits).

Courses are available through any of NASAA's approved course providers. For a list of IAR CE course providers, please visit [NASAA's Approved IAR CE Providers](#) page.

Your course completions must be successfully submitted to FINRA by the approved course provider prior to the CRD System Shutdown each year.

Note: If you did not have an IAR CE requirement prior to enrolling in the IAR EVEP, you *will not* have an IAR CE requirement during the balance of the first calendar year of enrollment.

Annual IAR CE Requirement

- 6 Products & Practice credits
- 6 Ethics and Professional Responsibility credits

While enrolled in the IAR EVEP, your IAR CE course completions can be tracked in the **IAR CE** section on the **Current CE** tab of your **My CE** page in FinPro. If the courses you completed do not appear in this section, please contact the course provider to ensure they have your name spelled correctly and your correct CRD number.

My Continuing Education PRINT CE TRANSCRIPT

Continuing Education Status

Reg Element CE Satisfied on 01/16/2025

MQP Ineligible

AG EVEP Ineligible

IAR CE Satisfied on 01/24/2025

IAR EVEP Ineligible

Continuing Education Details

Current CE CE History CE Inactive History Collapse All Expand All

Annual Regulatory Element CE 4 out of 4 complete complete

Investment Adviser Representative CE (IAR CE) 12.0 out of 12.0 credits complete complete

[View Program Details](#)

Completing the annual IAR continuing education credits with an approved IAR CE provider allows you to maintain your IAR registration and eligibility for IAR EVEP.

[GO TO NASAA SITE](#)

2025 Courses (2)	Course Provider	Course ID	Completion Date	# of credits	Credit Type
AE Ethical Communications: Internal & External	RegEd, Inc.	C26337	01/22/2025	6.0	Ethics & Professional Responsibility
Regulatory Element	FINRA	REGLEMENT (2025)	01/15/2025	6.0	Products & Practice

IAR CE Participants

If you already had an IAR CE requirement due to a previous RA registration in a state that requires IAR CE, you must have a status of IAR CE Not Required, IAR CE Required, or IAR CE Satisfied to meet the fourth eligibility requirement to enroll in the IAR EVEP.

If you have an IAR CE Inactive status, you can complete enough IAR CE credits to bring your status to IAR CE Required *before* your two-year termination date in order to meet the fourth eligibility requirement.

Additional Resources

For more information about NASAA's EVEP, visit [NASAA's EVEP page on NASAA.org](#) where NASAA has posted an overview, the model rules, state adoption information and FAQs.

For a list of IAR CE course providers, please visit [NASAA's Approved IAR CE Providers](#) page.

Feedback and Support

Please contact NASAA staff at exams@nasaa.org with any questions about the program.