

## Attachment B—Candidates for Uncontested Seats

Information about each candidate is available at: <https://www.finra.org/notices/RegionalElection/CandidateProfiles>

<b>North Region Committee/District 11</b> ( <i>Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island and Vermont</i> ) – Two seats available		
Diana Perlman	Deputy Chief Compliance Officer	VP Distributors, LLC

<b>South Region Committee/District 5</b> ( <i>Alabama, Arkansas, Louisiana, Mississippi, Oklahoma and Tennessee</i> ) – Two seats available		
Alyson Mencio Stevens	Chief Compliance Officer	Wiley Bros.-Aintree Capital &Partners, LLC

**West Region Committee/District 1** (*Northern California (the counties of Monterey, San Benito, Fresno and Inyo, and the remainder of the state north or west of such counties), northern Nevada (the counties of Esmeralda and Nye), and the remainder of the state north or west of such counties) and Hawaii*)

- No Candidates