



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #20-24) 04/30/2024 Joann Inc (JOANQ)

Notice has been received that the above Debtors First Amended Prepackaged Joint Plan of Reorganization became effective on 4/30/2024. Holders of Existing Equity Interests are not entitled to receive a recovery or distribution on account of such Existing Equity Interests. On the Effective Date, Existing Equity Interests shall be cancelled, released, discharged, and extinguished, and shall be of no further force or effect. See the Debtors First Amended Prepackaged Joint Plan of Reorganization for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.

¹ See *e.g.*, In re: Joann Inc., *et al.*, Chapter 11 Case No. 24-10418 Debtors First Amended Prepackaged Joint Plan of Reorganization of Joann Inc and its Debtors Affiliates