

Attachment A

Candidate Profile Form: NAC Election for a Small Firm NAC Member

Please complete all sections and email this document to CorporateSecretary@finra.org.

Name: _____

Date: _____

(As you would like it to appear on official correspondence)

Current Registration

Title: _____

Firm Name: _____

Firm CRD#: _____

Individual CRD #: _____

Address

Street Address: _____

Suite/Floor: _____

City: _____

State: _____

Email: _____

Phone: _____

Provide a brief biography or summary of your qualifications to serve as a NAC member. The biography should contain only factual information, such as employment experience, service on other industry-related boards and committees, education credentials, etc. (The biography should be no more than 500 words.)