

Attachment B: Profile of Mid-Size Firm Governor Candidate

James T. Crowley

Chief Executive Officer, Pershing Advisor Solutions LLC

Senior Executive Vice President, Global Head of BNY Pershing

Jim Crowley is the Global Head for Pershing Advisor Solutions LLC, and also the Chief Executive Officer and Chair of the Executive Committee for Pershing. He is also a member of the BNY Mellon Executive Committee. Pershing provides business-to-business solutions to 1,200 clients representing over 7 million investor accounts globally. Jim leads a team of experienced professionals dedicated to helping clients improve profitability, drive growth, create efficiency, and manage risk and regulation. He is a passionate supporter of diversity and inclusion and ESG efforts.

Prior to becoming CEO in July 2019, Jim served as the firm's Chief Operating Officer (COO), ensuring that Pershing had a sustainable and resilient business strategy, attracted and developed the best talent, and had the proper controls and systems in place to support its business.

He is a frequent speaker at client events and major industry conferences on business trends, strategy and transformation, sharing his broad range of industry insights and experience.

Jim holds a Bachelor of Science degree in Management from Florida State University. He is on the Board of Governors of FINRA and was previously a member of the Board of Directors of Securities Industry and Financial Markets Association (SIFMA) and the Financial Services Institute (FSI). He also completed the Securities Industry Institute® (SII) program at the Wharton School of the University of Pennsylvania. He previously served on the SII Board of Trustees, where he held the role of Chairman from 2007-2009.