

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Trisha Hancock**

Title: Chief Compliance Officer

Firm: Invesco Distributors, Inc.

Candidate Biography and Personal Statement *(500 word limit)*

Trisha Hancock is Chief Compliance Officer (CCO) for Invesco's US broker dealers, Invesco Distributors, Inc., and Invesco Capital Markets, Inc. and has over 25 years of financial services experience. Additionally, she serves as CCO for Invesco's UITs, including the UIT Supervisor, Invesco Investment Advisers LLC, and is Head of Compliance for Invesco Investment Services, Inc. (Invesco US transfer agent) and Invesco Trust Company (Invesco trust services).

In her role, Ms. Hancock oversees the compliance program for Invesco's wholesale and institutional distribution activities comprising approximately 900 registered representatives and the broker dealer trading compliance program for Invesco's UIT and affiliated broker dealer trading activities.

Ms. Hancock participates in several industry and CCO forums that provide her the opportunity to engage with industry peers to discuss regulatory trends, rule change and share best practices. She is completing her first term on the South Region, District 6, Committee and is seeking re-election. She feels staunchly in a strong, collaborative relationship with FINRA staff and other regulatory bodies and is dedicated to being a voice for her firm, peers, and the industry.

Ms. Hancock earned a BA degree in secondary education from Texas State University. She holds the Series 6, 7, 14, 24, 26, and 79 registrations.

Optional Links:

Link to personal website, resume or CV:

Link to YouTube video: