

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Christy Lee**

Title: **FINOP - Principal Financial Officer**

Firm: **MILLENNIUM ADVISORS, LLC**

Candidate Biography and Personal Statement *(500 word limit)*

Christy joined Millennium Advisors, LLC in late 2020 as a senior financial manager and manager of regulatory reporting. Christy was named the Firm's FINOP in mid-2023. She has extensive experience in the financial services industry, having started her career as a Securities Examiner with FINRA and SEC. Christy worked for SunTrust Banks, Inc. and TIAA as their affiliate firm's FINOP and finance roles prior to joining Millennium. She has extensive experience in performing and reviewing net capital and weekly reserve calculations. At TIAA, Christy was the Chief Financial Officer for all TIAA's broker-dealers including an acquired Nuveen Securities, LLC (institutional trading and self-clearing firm). Christy currently serves on FINRA's 27/28 Qualification Exam Content Committee. TShe currently holds the FINRA Series 7, 63, and 27 licenses.

Optional Links:

Link to personal website, resume or CV: <https://www.linkedin.com/in/christy-r-lee>

Link to YouTube video: