

**FINANCIAL INDUSTRY REGULATORY AUTHORITY  
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

EUGENE H. KIM  
(CRD No. 2264940),

Respondent.

Disciplinary Proceeding  
No. 2019064508802

Hearing Officer—DRS

**ORDER SETTING DEADLINES FOR FILING PROPOSED  
CORRECTIONS TO THE HEARING TRANSCRIPT**

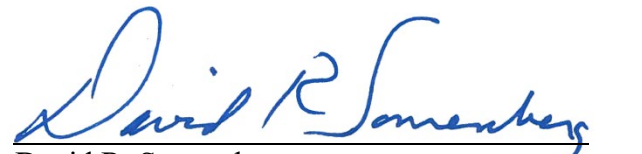
FINRA Rule 9265(c) governs the correction of the hearing transcript. The rule provides that,

[p]rior to the filing of post-hearing briefs or proposed findings and conclusions, or within such earlier time as ordered by the Hearing Officer, a Party or witness may seek to correct his or her transcript. A proposed correction of the transcript shall be submitted to the Hearing Officer by affidavit. Upon notice to all Parties to the disciplinary proceeding, the Hearing Officer may order the correction to the transcript as requested or sua sponte.

During the hearing, the parties stated that after the hearing, they wished to provide proposed corrections to the hearing transcript. I told them to send their proposals for doing so to the Office of Hearing Officers by June 14, 2023. On June 14, the Department of Enforcement sent a joint proposal by email to the Case Administrator assigned to the case. According to the email, the parties conferred and jointly propose to exchange any errata by **July 11, 2024**, and to file with the Office of Hearing Officers by **July 22, 2024**, any joint identifications of errata and/or each party's identified errata (if no agreement can be reached).

I find the parties' proposal acceptable and **ORDER** them to comply with it. The parties are reminded that, as set forth above, FINRA Rule 9265(c) requires that a proposed correction of the transcript shall be submitted by affidavit.

**SO ORDERED.**

  
David R. Sonnenberg  
Hearing Officer

Dated: June 17, 2024

Copies to:

Martin H. Kaplan, Esq. (via email)

Timothy Feil, Esq. (via email)

Robyn Paster, Esq. (via email)

Robert Kennedy, Esq. (via email)

Roger Kiley, Esq. (via email)

John R. Baraniak, Jr., Esq. (via email)

Savvas A. Foukas, Esq. (via email)

Jennifer L. Crawford, Esq. (via email)