



Web EFT-to-API Migration Updates

Updated February 20, 2025

Composite Individual: Missing IDs (New)

- The ability to generate missing IDs for Composite Individual arrays is now available in production.
 - Workaround has been to use legacy instance numbers instead.
- Firms that are interested in having FINRA add the missing IDs for currently associated individuals can send a request to API_Developer@finra.org.
 - Once the IDs are generated, they can be consumed by requesting a new Composite Individual Seed file.

Individual Fingerprint API

- A new Individual Fingerprint API became available for testing in QA on January 28.
 - https://developer.finra.org/docs#query_api-registration-individual_fingerprint
 - Production deployment targeted for end of February.
- Review the “Dataset Details” for answers to specific questions about this API.
 - https://developer.finra.org/docs#query_api-registration-individual_fingerprint
 - Scope of data included.
 - Entitlement requirements and credential limits.
 - Required parameters.
- FINRA API Terms of Service have been updated to clarify compliance obligations.
 - <https://developer.finra.org/finra-api-terms-service>
 - See new paragraph in Section 3.8.
 - Recommend consulting with your firm’s legal team when implementing.

Reminder – Web EFT Sunset

- Web EFT will be sunset on April 30, 2025. All currently available XML reports and batch upload functionality will be COMPLETELY RETIRED as of that date.
- Remaining major Web EFT sunset milestones:
 - <https://developer.finra.org/webeftsunset>
- Schedule of upcoming features and fixes:
 - <https://developer.finra.org/upcoming-changes>
- Release notes and other announcements:
 - <https://developer.finra.org/news-and-updates>

Common API Questions

- **Is there a special onboarding process for firms using a third-party vendor?**
 - No, the firm should follow the normal process for onboarding to the API platform. The firm can then use the self-service API Console to create a Client ID to share with the vendor.
 - When sharing a Client ID with a vendor, we recommend clearly identifying the firm.
- **Can an existing Client ID be transferred to a different person?**
 - No, the API Console does not support transferring Client IDs. The user who created the Client ID will need to delete the old ID and then create a new one.
 - For information about credential and usage limits, please review the FAQs at the very bottom of this page: <https://developer.finra.org/fees>.
- **Does testing in QA require separate credentials?**
 - Yes, firms onboarded to the production API can request corresponding QA access: https://developer.finra.org/docs#getting_started-api_platform_basics-qa_test_environment.
 - If you need assistance with an existing QA account, contact FINRA at api_developer@finra.org.

Common API Questions (Updated)

- There were some lists of allowed values (enums) that were not provided in Version 7 of the Composite Individual mapping document. Where can I find those?
 - Please see the recently posted Version 8 for enums and other items that were missing from previous versions: <https://developer.finra.org/news/updated-mapping-document-composite-individual-dataset-1>.
- What information should I provide when reporting a possible API issue to FINRA?
 - The following details are very helpful when troubleshooting potential issues:
 - Firm CRD #
 - Individual or branch CRD #
 - Endpoint URL (production or QA)
 - API Request ID (if available)
 - Client ID used to call the API
 - Exact error message and any logs
 - JSON payload (if available - with sensitive data removed)

Remaining Migration Communications

- Consolidated API/EFT support is available at API_Developer@finra.org.
- Webinars are planned for the first Wednesday of each month from 1-2pm ET through April 2025.
 - Agendas and connection information will be sent monthly via broadcast email.
- Office hours will typically be held on the second and fourth Tuesdays of each month through April 2025 for technology staff to discuss development topics.
 - Exact dates and times will be included in each invitation, along with registration link.
 - Attendees are able to submit questions and propose topics in advance.

