



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #34-25) 04/07/2025 Gritstone bio, Inc.**  
**(GRTSQ)**

Notice has been received that the above Company's Second Modified Chapter 11 Plan of Reorganization became effective on 04/04/2025. Pursuant to the plan, As of the Effective Date, any and all Equity Interests are cancelled and deemed discharged without any further notice or order. No distributions shall be made under the Plan on account of any Equity Interest. Please consult the company's Second Modified Chapter 11 Plan of Reorganization for further details.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations – 1-866-776-0800.

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<sup>1</sup> See e.g., *In re: Gritstone bio, Inc., et al.*, Debtors. Chapter 11 Case No. 24-12305 (KBO) Second Modified Chapter 11 Plan of Reorganization of Gritstone, bio, Inc