



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC #41-25) 04/24/2025 Vintage Wine**  
**Estates, Inc. (VWESQ, VWEWQ)**

Notice has been received that the above Company's Joint Plan of Liquidation has become effective on 02/28/2025. Pursuant to the plan, On the Effective Date, Interests in VWE will be canceled, and Holders of Class 5 Interests will not receive any Distribution pursuant to this Plan. Please consult the company's Joint Plan of Liquidation for further details.<sup>12</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations – 1-866-776-0800.

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<sup>1</sup> See e.g., In re: Meier's Wine Cellars Acquisition, LLC, et al., Chapter 11 Case No. 24-11575 (MFW) (Jointly Administered) Joint Plan of Liquidation for the Debtors.

<sup>2</sup> The Debtors are the following twelve entities (the last four digits of their respective taxpayer identification numbers, if any, follow in parentheses): Meier's Wine Cellars Acquisition, LLC (5557); California Cider Co., Inc. (0443); Girard Winery LLC (5076); Grove Acquisition, LLC (9465); Meier's Wine Cellars, Inc. (2300); Mildara Blass Inc. (1491); Sabotage Wine Company, LLC (8393); Splinter Group Napa, LLC (1417); Thames America Trading Company Ltd. (0696); Vinesse, LLC (3139); Vintage Wine Estates, Inc. (CA) (2279); and Vintage Wine Estates, Inc. (NV) (5902)