



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #42-25) 04/25/2025 Tintri, Inc.
(TNTRQ)

Notice has been received that the above Company's Amended Chapter 11 Plan of Liquidation has become effective on 1/10/2020. Pursuant to the plan, On the Effective Date, On the Effective Date all Equity Interests in the Debtor will be cancelled and compromised, and Holders of Equity Interests shall receive no distribution on account of such Equity Interests.

Please consult the company's Amended Chapter 11 Plan of Liquidation for further details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations – 1-866-776-0800.

¹ See *e.g.*, In re TINTRI, Inc., et al., Debtor. Chapter 11 Case No. 18-11625 (LSS) Debtors' Amended Chapter 11 Plan of Liquidation of Tintri, Inc.