



Case ID: _____

Submitted by: Claimant(s)

Respondent(s)

Party Name(s): _____

In-Person Attendees

Hearing Date(s): _____

Name

Email Address

Dates of Attendance

Party/Parties:

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Attorney(s):

Cell Phone Number

Name

Email Address

Dates of Attendance

_____	_____	_____
_____	_____	_____
_____	_____	_____

Witnesses:

_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

Court Reporter:

Name:

Yes

No

_____	_____	_____
-------	-------	-------

Will any witnesses participate via zoom?

Yes

No

**If yes, will Zoom be on the
entire time of the hearing?**

Yes

No

What equipment will you be bringing? (screen, projectors, laptops, sound equipment).

You must submit your response via the FINRA DR Portal.

Parties are responsible for all audio-visual equipment and any other costs associated with participating via video conference

Additional Participants

Name

Email Address

Dates of Attendance

[illegible]

Additional Comments: