

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

ALPINE SECURITIES CORPORATION
(CRD No. 14952),

Respondent.

Expedited Proceeding
No. PCDO230001

RCM No. 2019061232603

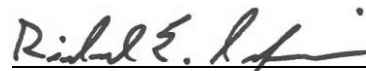
Hearing Officer—RES

**ORDER GRANTING RESPONDENT ALPINE SECURITIES CORPORATION LEAVE
TO FILE A MOTION TO DISMISS THIS EXPEDITED PROCEEDING**

In a pre-hearing conference in this expedited proceeding, Respondent Alpine Securities Corporation orally moved for leave to file a motion to dismiss the proceeding. According to Respondent, the National Adjudicatory Council's decision in *Department of Enforcement v. Alpine Securities Corp.*, 2025 FINRA Discip. LEXIS 6 (Mar. 25, 2025), provides grounds for dismissal.

I find there is good cause for granting Respondent leave to file a motion to dismiss. Respondent shall file and serve its motion and a supporting brief on or before April 23, 2025. Enforcement shall file its brief in opposition on or before May 5, 2025.

SO ORDERED.



Richard E. Simpson
Hearing Officer

Dated: April 3, 2025

Copies to:

Maranda Fritz, Esq., Maranda Fritz, PC for Respondent (via email)
Savvas Foukas, Esq., FINRA Enforcement (via email)
Jennifer L. Crawford, Esq., FINRA Enforcement (via email)