

Modern FinPro User Interface

FINRA

FINRA Financial Professional Gateway User: **Merry Doe** (CRD#123456) ? 🔄 🔔 MD

Dashboard

My Tasks My Tasks displays actionable items assigned to the user for completion

Annual Regulatory Element CE Required ⓘ Due on 11/5/2025
[View Program Details](#)
Complete your annual regulatory element continuing education courses to maintain the registrations that you hold.

2025 Assignments (5)	Link
Amended Rules 1210 and 1240: CE Transformation	Launch CE 🔗
Information Protection	Launch CE 🔗
Information Protection II	Launch CE 🔗

[Show 2 More Assignments](#) ▼

Complete the e-signature for your U4
[View Details](#) START HERE

Shortcuts Shortcuts provides quick links to other frequent actions

[Print CE Transcript](#)
[View U5](#)
[Print U4](#)

Continuing Education

Reg Element CE Required ⓘ

Left hand navigation bar provides easy access to the user's Form U4 information and Continuing Education details