



Potential 2026 Regulatory Element Topics

As of **June 20, 2025**, the following topics are being considered by FINRA and the CE Council for coverage in the **2026 Regulatory Element learning plan**. These topics are subject to change. FINRA and the CE Council will publish the 2026 Regulatory Element learning plan topics by Oct. 1, 2025 on FINRA's [View a Regulatory Element Learning Plan](#) page.

Topics under consideration for the 2026 Regulatory Element learning plan:

Regulation BI's Care Obligation – Second Component

Communicating about Departing Registered Representatives

Scam Trends

Order Types and Current Quotes

IPO Timeline and Communications

Financial and Operational Books and Records

Trading ahead of a Research Report

Retail Order Period and Priority Provisions in New Municipal Security Offerings

Remote Supervisory Locations