



FINRA Institute at Georgetown
 Certified Regulatory and Compliance Professional (CRCP) Program
Week II | November 16 – 21, 2025 *This schedule is subject to change.*

GEORGETOWN UNIVERSITY / McDONOUGH SCHOOL of BUSINESS

TIMES	Sunday, November 16	Monday, Nov. 17	Tuesday, Nov. 18	Wednesday, Nov. 19	Thursday, Nov. 20	Friday, Nov. 21
8:00 a.m. – 8:50 a.m.		<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast</i>	<i>Breakfast at Hotel 7:30 a.m. – 8:20 a.m.</i>
8:50 a.m. – 9:00 a.m.	ACCOMMODATIONS: The Westin Georgetown 2350 M St NW Washington, D.C. 20037 MEALS: Locations vary	WELCOME	Group Exercise Overview • James Angel	Group Exercise Overview • James Angel	Group Exercise Overview • James Angel	Group Exercise Overview 8:20 a.m. – 8:30 a.m. • James Angel
9:00 a.m. – 10:30 a.m.	 CLASSROOM: McDonough School of Business	SESSION 1: Complex Financial Products • James Angel	SESSION 5: Capital Formation • Maxwell Rich • Gabriela Aguero	SESSION 9: Anti-Money Laundering Regulation I • Sarah Green • Gargi Sharma	SESSION 13: Crytpocurrency • Jamie Udinson • James Angel	SESSION 17: Leadership: Building Teams and Managing Conflicts 8:30 a.m. - 10:00 a.m. • Michael O'Leary
10:30 a.m. – 10:45 a.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break 10:00 a.m. - 10:15 a.m.</i>
10:45 a.m. – 12:15 p.m.	Rafik B. Hariri Building 4th Floor, Room 440 37th and O Streets Washington, DC 20057 ACADEMIC DIRECTOR: Professor James Angel PROGRAM MANAGER: Gloria Carls	SESSION 2: Arbitration Proceedings and Office of Hearing Officers • Maureen Delaney • James Angel • Rick Berry	SESSION 6: Foreign Markets and Securities Regulation • James Angel	SESSION 10: Anti-Money Laundering Regulation II • Sarah Green • Gargi Sharma	SESSION 14: Cyber-Security and Cyber-Enabled Fraud • Greg Lyon • Jason Beachy • Robert Francis	SESSION 18: Senior Investor Protection (including Succession Planning) 10:15 a.m. - 11:45 a.m. • Alicia Goldin • Brooke Hickman • Linde Murphy
12:15 p.m. – 1:30 p.m.		<i>Lunch</i>	<i>Lunch</i>	<i>Lunch</i>	<i>Lunch</i>	<i>Box Lunch (provided) 11:45 a.m. - 12:15 p.m.</i> ASSESSMENT 12:15 p.m. - 2:45 p.m.
1:30 p.m. – 3:00 p.m.		SESSION 3: White Collar Crimes • Mark MacDougall	SESSION 7: Advanced Supervision: Remote Supervision • Marlon Paz • Sarah Kwak	SESSION 11: Artificial Intelligence (AI) • Sudipta Dasmohapatra • Brad Ahrens • Andrew McElduff	SESSION 15: Leadership: Negotiation Skills for Compliance Professionals • Rachel Gillers	
3:00 p.m. – 3:15 p.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	THANK YOU FOR ATTENDING. SAFE TRAVELS!
3:15 p.m. – 4:45 p.m.		SESSION 4: Investment Adviser Regulation • James Angel	SESSION 8: SEC and FINRA Enforcement • Marlon Paz • Tina Gubb	SESSION 12: Customer Protection Rule: Clearing and Custody • James Angel • John McKissick	SESSION 16: Municipal Securities Regulation • Gene Davis • Erica Proctor	
4:45 p.m. – 5:00 p.m.		<i>Break</i>	<i>Break</i>	<i>Break</i>	<i>Break</i>	
5:00 p.m. – 6:00 p.m.	WELCOME RECEPTION 5:30 p.m. – 7:00 p.m.	Group Exercise	Group Exercise	Group Exercise	Group Exercise	
6:00 p.m. – 8:00 p.m.		Off-Campus Dinner 6:00 p.m. – 8:30 p.m.	Seated dinner at hotel	Grab-and-go dinner at hotel	Grab-and-go dinner at hotel	