

Cyber Workshops & Tabletop Exercises

Prepare for Potential Cybersecurity Events

FINRA

Cyber incidents continue to grow in frequency, impact and complexity, affecting FINRA member firms of all sizes. Preparing for these events through realistic, structured exercises helps firms strengthen coordination, clarify roles and identify gaps—before a real-world incident occurs.

With that in mind, FINRA is hosting a series of Cyber Workshops and Tabletop Exercises (TTXs) designed to help FINRA member firms strengthen their cyber readiness, reinforce internal controls, and better understand expectations around safeguarding customer data and maintaining operational resilience. Each one simulates a real-world incident or threat and provides practical information for developing a response.

These events are designed not just to meet today's regulatory expectations, but to position firms to adapt to tomorrow's risks with the evolving risk landscapes.

Benefits for FINRA Member Firms:

- Gain greater awareness of current and emerging cyber threats
- Enhance skills of assessing vulnerabilities, testing response protocols, and aligning key stakeholders across multiple business functions
- Develop a clear understanding of regulatory expectations related to safeguarding customer information, implementing incident response plans, and more
- Exchange ideas with peers and discover shared challenges and effective practices
- Receive actionable insights and observations from FINRA cybersecurity experts

Developed For:

- Chief Compliance Officers and Firm Leadership
- Compliance and Cyber/IT Personnel
- Business Line Leadership
- Legal, Human Resources and Communications Staff
- Risk and Audit Professionals

Register Today

Vendor Management Checklist Workshop and Loss of Critical Third-Party and Resiliency TTX

Oct. 8, 2025 • Las Colinas, TX

Hosted in advance of the FINRA 2025 Small Firm Conference. Conference attendees can sign up for complimentary access when [registering for the conference](#); all others must [register separately](#).

Technology Support TTX | SAVE THE DATE

Oct. 22, 2025 • Chicago, IL

Topics include alternative trading systems, data rooms, high-frequency trading and algorithms. Registration opening soon.

Data Breach and Privacy Impact Response Workshop and Ransomware and Crypto Payment TTX

Oct. 27, 2025 • Santa Monica, CA

Hosted in advance of the FINRA 2025 Cyber Crimes Conference. Conference attendees can sign up for complimentary access when [registering for the conference](#); all others must [register separately](#).

Additional Sessions in Development

Dates and in-person details announcing soon.

- **Ransomware TTX** • TBD In-Person/Virtual
- **Insider Threat Workshop/TTX** • Virtual
- **Market Volatility / Financial Crisis Technology Response TTX** • In-Person

Visit [FINRA's Workshops page](#) for the most up-to-date list of FINRA's workshops and TTX offerings.