



(Refer to UPC# 73-2025) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #75-2025) (07/31/2025)

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: Live Oak Bancshares, Inc (LOBCL)

08/04/2025

Class of Security: Dep Shs Repstg 1/40th Int Non-Cumulative Perpetual (Pfd Stk Ser A)

Questions regarding this notice should be directed to: FINRA Market Operations-
1-866-776-0800.