



**(Refer to UPC# 84-2025) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #85-2025) 08/18/2025**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: NewTekone Inc (NWTKL)**

**08/20/2025**

**Class of Security: DEPOSITARY SHS REPSTG 1/40TH INT IS SH FXD RATE (NON-CUMULATIVE PERPETUAL PFD SER B)**

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776 - 0800 Opt 2