

**FINRA TRANSPARENCY SERVICES
SLATE REPORTING AGENT AUTHORIZATION**

This SLATE Reporting Agent Authorization ("**Authorization**") is for use to notify Financial Industry Regulatory Authority, Inc. ("**FINRA**") that a "covered person" as defined in 17 C.F.R. § 240.10c-1a ("**SEA Rule 10c-1a**") (such entity, a "**Covered Person**") has authorized another entity to act as its "reporting agent" as defined in SEA Rule 10c-1a (such entity, a "**Reporting Agent**") in order to report securities loan transactions on such Covered Person's behalf to the Securities Lending and Transparency Engine ("**SLATE**"), a facility operated by FINRA.

NOTE: This Authorization is **not** intended to serve as the written agreement between a "covered person" and a "reporting agent" required by paragraph (a)(2) of SEA Rule 10c-1a.

By acknowledging this Authorization:

1. The Covered Person identified below hereby authorizes the Reporting Agent identified below to report securities lending transactions on Covered Person's behalf to SLATE as of the effective date shown below.
2. The Reporting Agent identified below hereby accepts and confirms the authorization to report securities lending transactions to SLATE on behalf of the Covered Person identified below as of the effective date shown below.
3. Each of Covered Person and Reporting Agent acknowledges that:
 - a. it must observe and comply with all applicable law (including without limitation SEA Rule 10c-1a and FINRA Rule 6500 series) and any applicable agreements to which it is a party relating to the transactions reported pursuant to this Authorization; and
 - b. FINRA will rely on this Authorization in connection with Covered Person's and Reporting Agent's access to and use of SLATE.
4. Either Covered Person or Reporting Agent may terminate this Authorization, without prior notice to the other party, by submitting a deactivation request to FINRA.

Effective Date: _____

REPORTING AGENT

Firm Name: _____

MPID: _____

OrgID: _____

CRD #: _____

Acknowledged: ☐

COVERED PERSON

Firm Name: _____

MPID: _____

OrgID: _____

CRD #: _____

Acknowledged: ☐