

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Markus Witthaut**

Title: Chief Compliance Officer

Firm: Seaport Global Securities LLC

Candidate Biography and Personal Statement *(3,000 character limit - approximately 500 words)*

Markus Witthaut is Chief Compliance Officer at Seaport Global.

Markus was Chief Financial Officer and Chief Compliance Officer at The Seaport Group, and before that, he was the Vice President of Finance and FINOP at IPO Group, Inc.

Markus holds an BA in Economics from Boston College and an MBA in Accountancy from the Zicklin School of Business at Baruch College. He is accredited as a Certified Regulatory and Compliance Professional from the Financial Industry Regulatory Authority Institute at Wharton.

Markus has been registered with FINRA since 2001. He holds the Series 7, 14, 24 and 27 licenses.

Markus would bring a wealth of industry knowledge and experience to the Regional Committee. He has worked at a firm that has grown from a small boutique distressed debt brokerage to a multi-faceted full service medium sized investment bank.

Optional Link:

LinkedIn Profile: <https://www.linkedin.com/in/markus-witthaut-9380b9a6/>