

Candidate Profile Form—Regional Committee Election *(this page will be displayed on finra.org)*

Candidate Name: **Jonathan Fox**

Title: Department Supervisor / Supervision

Firm: Pinnacle Investments, LLC

Candidate Biography and Personal Statement *(3,000 character limit - approximately 500 words)*

Considering I passed my Series 7 way back in the 1900s, I've been in the industry for quite some time. For the last three years, I've been in Supervision at a relatively small BD/RIA in tropical Syracuse, NY. This, along with the previous 14 1/2 years at the Oppenheimer Rochester Municipal Bond Funds (the last 11 in Compliance), has allowed a varied look into the industry over most of the past two decades.

The idea of collaborating with FINRA and other member firms to make the industry better is something with which I'd like to be involved. As a result, I'm putting my name on the ballot for one of FINRA's Regional Committees. I'd look forward to talking with other member firms and committee members to help solve a wide variety of topics.

Optional Link:

LinkedIn Profile: