



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #103-25) 10/08/2025 AIO US, Inc

Notice has been received that the above Company's Fourth Amended Joint Chapter 11 Plan of Liquidation has become effective on 10/07/2025.

(CUSIP: 054303AZ5) Except as otherwise provided in the Plan or to the extent that a holder of an Allowed General Unsecured Claim agrees to different treatment, in full and final satisfaction, settlement, release of, and in exchange for, such Allowed General Unsecured Claim, on the later of the Effective Date and the date that is thirty (30) calendar days after the date such General Unsecured Claim becomes an Allowed Claim, or as soon as reasonably practicable thereafter, each holder of an Allowed General Unsecured Claim shall receive such holder's Non Electing General Unsecured Claim Distribution, unless such holder elects, pursuant to the GUC Election Procedures, to have its claim treated as an Electing General Unsecured Claim, in which case such holder shall forgo its recovery from the GUC Recovery Fund and instead receive such holder's proportionate share of the Special Electing GUC Recovery Fund in accordance with the Trust Distribution Procedures. For the avoidance of doubt, any holder of an Allowed General Unsecured Claim that fails to make an election pursuant to the GUC Election Procedures to have its claim treated as an Electing General Unsecured Claim shall have its claim treated as a Non-Electing General Unsecured Claim and receive such holder's Non-Electing General Unsecured Claim Distribution.

Each Unsecured 2043 Noteholder that elects, pursuant to the GUC Election Procedures, to have its position in the Unsecured 2043 Notes treated as an Electing General Unsecured Claim agrees that, as of the Effective Date, (a) the amount of its position in the Unsecured 2043 Notes shall be segregated from the Unsecured 2043 Notes in accordance with the policies, practices, and procedures of DTC (the "DTC Election Process") and reduce and eliminate the amount owed with respect to the Unsecured 2043 Notes in the Bond Trustee's books and records and the applicable Unsecured 2043 Notes Documents, (b) the amount of its position in the Unsecured 2043 Notes plus the corresponding pro rata amount of the Allowed accrued, but unpaid, prepetition interest on its position in the Unsecured 2043 Notes shall instead be recorded as an Electing General Unsecured Claim in the Claims register or other similar register maintained by the Liquidating Trustee for purposes of effectuating Distributions from the Special Electing GUC Recovery Fund, (c) it will be required to cooperate with and undertake all actions required of it to comply with the DTC Election Process and any instructions or procedures established by the nominee, custodian, or other party through which it holds its position in the Unsecured 2043 Notes to effectuate such election, (d) such electing Unsecured 2043 Noteholder will no longer be considered an Unsecured 2043 Noteholder for any purpose, except for purposes of receiving a Distribution from the Special Electing GUC Recovery Fund, and (e) the Bond Trustee is not involved with, is not responsible for, and shall incur no liability in connection with any future Distributions to be made to such electing Unsecured 2043 Noteholder. See the Company's Fourth Amended Joint Chapter 11 Plan of Liquidation.¹

¹ See e.g., *In re: AIO US, INC., et al.*, Debtors. Chapter 11 Case No. 24-11836 (CTG) (Jointly Administered) Fourth Amended Joint Chapter 11 Plan of Liquidation of AIO US, INC. And Its Debtor Affiliates.

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations – 1-866-776-0800.