



Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #118-25) 11/07/2025 DMK
Pharmaceuticals Corp. (DMKPQ)

Notice has been received that the above Company's First Amended Joint Chapter 11 Plan of Liquidation became effective on 11/15/2024. Pursuant to the Plan, On the Effective date, All Class 5 Equity Interests will be extinguished on the Effective Date. Holders of Equity Interests shall not receive or retain any distribution under the Plan on account of such Interests unless and until all senior Claims, including Allowed Administrative Claims (including Allowed Fee Claims), Allowed Priority Claims, Allowed Other Priority Claims, Allowed Secured Claims, Allowed General Unsecured Claims and Allowed Subordinated Claims are satisfied in full, in which case each holder of an Interest shall receive its Pro Rata Share of the Cash to be distributed from the Liquidation Trust, if any. See the Company's First Amended Joint Chapter 11 Plan of Liquidation for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations – 1-866-776-0800.

¹ See *e.g., In re: DMK Pharmaceuticals Corp. et al., Debtors*. Chapter 11 Case No. 24-10153 (MFW) (Jointly Administered) First Amended Joint Chapter 11 Plan of Liquidation of DMK Pharmaceuticals Corp and its Debtor Affiliates.