

Canadian Investment Regulatory Organization (CIRO)
Qualifications for Securities Industry Essentials (SIE) Exemption

A pass result for Canadian Investment Regulatory Exam (CIRE) and of the additional exams as shown in the table below qualifies an individual for the SIE exemption.

Required Exam
Canadian Investment Regulatory Exam (CIRE)
Plus, one of the following:
Retail Securities Exam
Institutional Securities Exam
Director and Executive Exam
Supervisor Exam
Chief Compliance Officer Exam
Chief Financial Officer Exam
Derivatives Exam
Trader Exam