

Each year funding portal firms are required to report to FINRA the funding portal's gross revenue on Form FP-Statement of Revenue in FINRA Gateway. This filing must be completed no later than 60 calendar days following each calendar year-end, with the due date this year March 2, 2026.

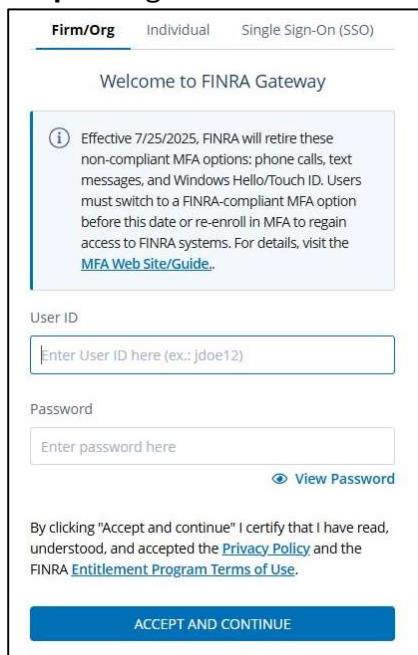
What is Required?

Funding portal firms must complete the filing of gross revenue through FINRA Gateway. This filing is required even for firms that have zero revenue in 2025. The statement of gross revenue is to be prepared in accordance with U.S. Generally Accepted Accounting Principles.

How to File the Form FP-Statement of Revenue

Please follow these steps to access, complete and submit the form in Firm Gateway:

Step 1: Log in to [FINRA Gateway](#).



Firm/Org Individual Single Sign-On (SSO)

Welcome to FINRA Gateway

Effective 7/25/2025, FINRA will retire these non-compliant MFA options: phone calls, text messages, and Windows Hello/Touch ID. Users must switch to a FINRA-compliant MFA option before this date or re-enroll in MFA to regain access to FINRA systems. For details, visit the [MFA Web Site/Guide](#).

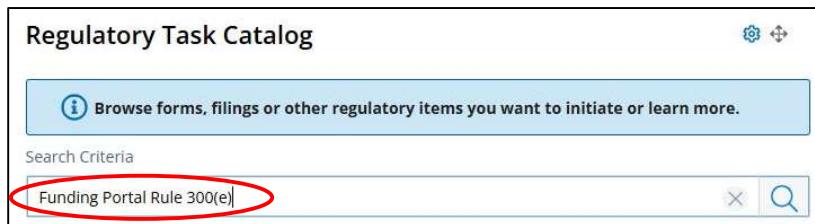
User ID
Enter User ID here (ex.: jdoe12)

Password
Enter password here [View Password](#)

By clicking "Accept and continue" I certify that I have read, understood, and accepted the [Privacy Policy](#) and the FINRA [Entitlement Program Terms of Use](#).

ACCEPT AND CONTINUE

Step 2: Find the “Regulatory Task Catalog” box on the right-hand side of the screen. In the Search Criteria field, type: “Funding Portal Rule 300(e).”

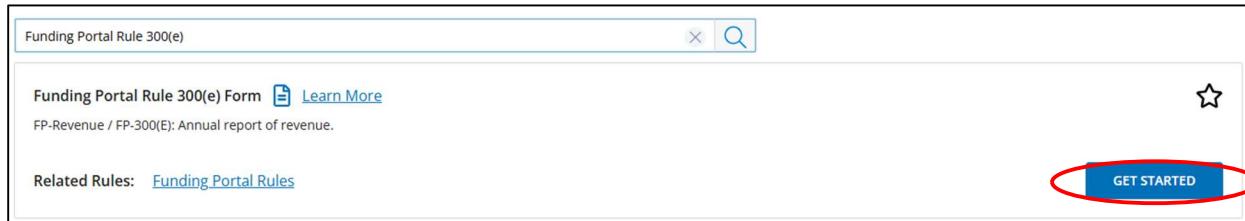


Regulatory Task Catalog

Browse forms, filings or other regulatory items you want to initiate or learn more.

Search Criteria

Step 3: Click on “Get Started.”



Funding Portal Rule 300(e) X 

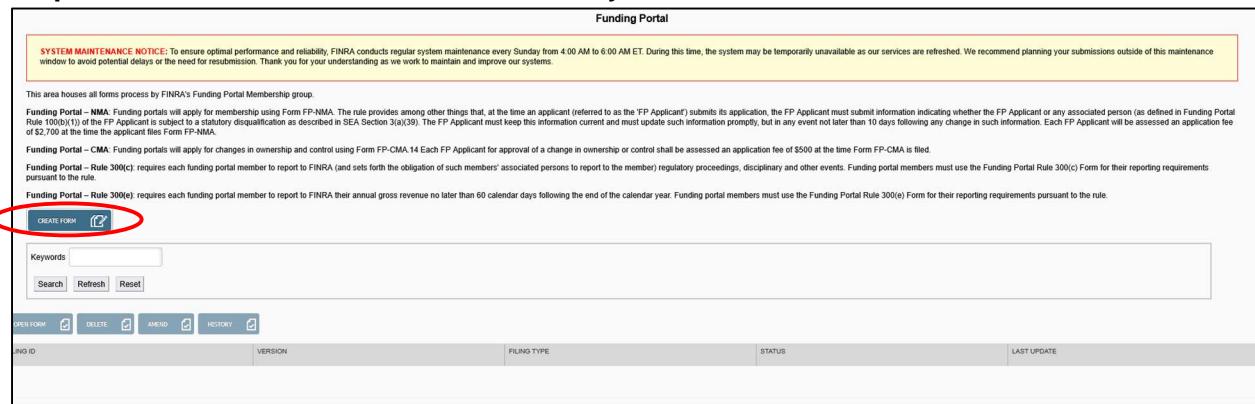
Funding Portal Rule 300(e) Form [Learn More](#) ★

FP-Revenue / FP-300(E): Annual report of revenue.

Related Rules: [Funding Portal Rules](#)

GET STARTED

Step 4: Click on “Create Form” above the Keywords search box.



Funding Portal

SYSTEM MAINTENANCE NOTICE: To ensure optimal performance and reliability, FINRA conducts regular system maintenance every Sunday from 4:00 AM to 6:00 AM ET. During this time, the system may be temporarily unavailable as our services are refreshed. We recommend planning your submissions outside of this maintenance window to avoid potential delays or the need for resubmission. Thank you for your understanding as we work to maintain and improve our systems.

This area houses all forms process by FINRA's Funding Portal Membership group.

Funding Portal – NMA: Funding portals will apply for membership using Form FP-NMA. The rule provides among other things that, at the time an applicant (referred to as the 'FP Applicant') submits its application, the FP Applicant must submit information indicating whether the FP Applicant or any associated person (as defined in Funding Portal Rule 100(b)(1)) of the FP Applicant is subject to a statutory disqualification as described in SEA Section 3(a)(39). The FP Applicant must keep this information current and must update such information promptly, but in any event not later than 10 days following any change in such information. Each FP Applicant will be assessed an application fee of \$2,700 at the time the applicant files Form FP-NMA.

Funding Portal – CMA: Funding portals will apply for changes in ownership and control using Form FP-CMA.14 Each FP Applicant for approval of a change in ownership or control shall be assessed an application fee of \$500 at the time Form FP-CMA is filed.

Funding Portal – Rule 300(c): requires each funding portal member to report to FINRA (and sets forth the obligation of such members' associated persons to report to the member) regulatory proceedings, disciplinary and other events. Funding portal members must use the Funding Portal Rule 300(c) Form for their reporting requirements pursuant to the rule.

Funding Portal – Rule 300(e): requires each funding portal member to report to FINRA their annual gross revenue no later than 60 calendar days following the end of the calendar year. Funding portal members must use the Funding Portal Rule 300(e) Form for their reporting requirements pursuant to the rule.

CREATE FORM 

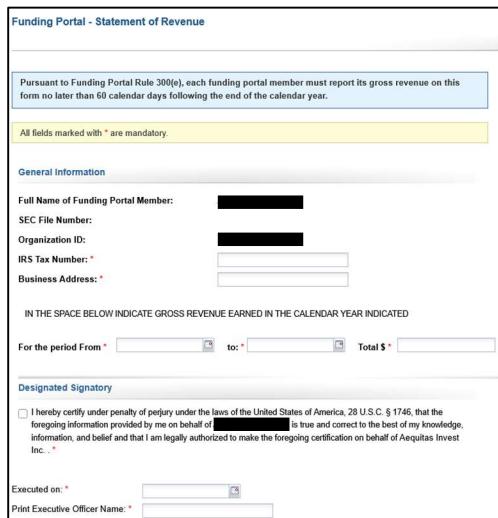
Keywords

Search Refresh Reset

OPEN FORM  DELETE  AMEND  HISTORY 

IND ID	VERSION	FILING TYPE	STATUS	LAST UPDATE
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Step 5: Complete the form by entering the 2025 gross revenue and filling out other required fields, and submit the form.



Funding Portal - Statement of Revenue

Pursuant to Funding Portal Rule 300(e), each funding portal member must report its gross revenue on this form no later than 60 calendar days following the end of the calendar year.

All fields marked with * are mandatory.

General Information

Full Name of Funding Portal Member: XXXXXXXXXX

SEC File Number: XXXXXXXXXX

Organization ID: XXXXXXXXXX

IRS Tax Number: * XXXXXXXXXX

Business Address: *

IN THE SPACE BELOW INDICATE GROSS REVENUE EARNED IN THE CALENDAR YEAR INDICATED

For the period From * to: * Total \$

Designated Signatory

I hereby certify under penalty of perjury under the laws of the United States of America, 28 U.S.C. § 1746, that the foregoing information provided by me on behalf of XXXXXXXXXX is true and correct to the best of my knowledge, information, and belief and that I am legally authorized to make the foregoing certification on behalf of XXXXXXXXXX Inc. *

Executed on: *

Print Executive Officer Name: *

If you have questions or need assistance accessing FINRA Gateway, please contact the FINRA Support Center at (800) 321-6273. If you have questions about this filing, please contact your firm's Risk Monitoring Analyst.

You received this email because you are designated as your firm's Executive Representative. If you are no longer your firm's Executive Representative, please ask your Super Account Administrator or Account Administrator to update your firm's role designations within FINRA Gateway's Firm Contact System.