

**FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS**

DEPARTMENT OF ENFORCEMENT,

Complainant,

v.

JOHN LOWRY
(CRD No. 4336146),

and

KIM MONCHIK
(CRD No. 2528972),

Respondents.

Disciplinary Proceeding
No. 2022075597101

Hearing Officer–BDC

**ORDER DENYING DEPARTMENT OF ENFORCEMENT’S MOTION FOR LEAVE
TO ADD NEW EXHIBIT AND AMEND EXHIBIT LIST**

On October 16, 2025, after the sixth day of the hearing in this matter, the Department of Enforcement filed a motion for leave to add one new exhibit and amend its exhibit list (“Motion”). The proposed exhibit is an internal email between FINRA staff members that is a continuation of an email exchange between Respondent Kim Monchik and FINRA staff that was entered into evidence during the hearing (JX-76). The internal email exchange between FINRA staff was withheld from Respondents pursuant to FINRA Rule 9251(b)(1)(B). Enforcement contends that good cause exists for its Motion because the internal email exchange between FINRA staff rebuts testimony offered by Monchik during the hearing. Respondents oppose the Motion.

After considering the arguments made by the parties on the record on October 17, 2025, and as I stated following that discussion, Enforcement’s Motion is **DENIED**.

SO ORDERED.



Brian D. Craig
Hearing Officer

Dated: October 20, 2025

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