



(Refer to UPC# 31-26) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #32-2026) 03/18/2026

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: OXFORD LANE CAP CORP (OXFRP)

03/20/2026

Class of Security:

OXFORD LANE CAP CORP 8.25% TERM PFD SER 2031

Questions regarding this notice should be directed to: FINRA Market Operations-1-866-776-0800.