



Advertising Regulation Electronic Files (AREF) System

Navigation Guide

V1

(Last updated: May 7, 2026)

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Revision History

Date	Comments
June 2026	Initial publication

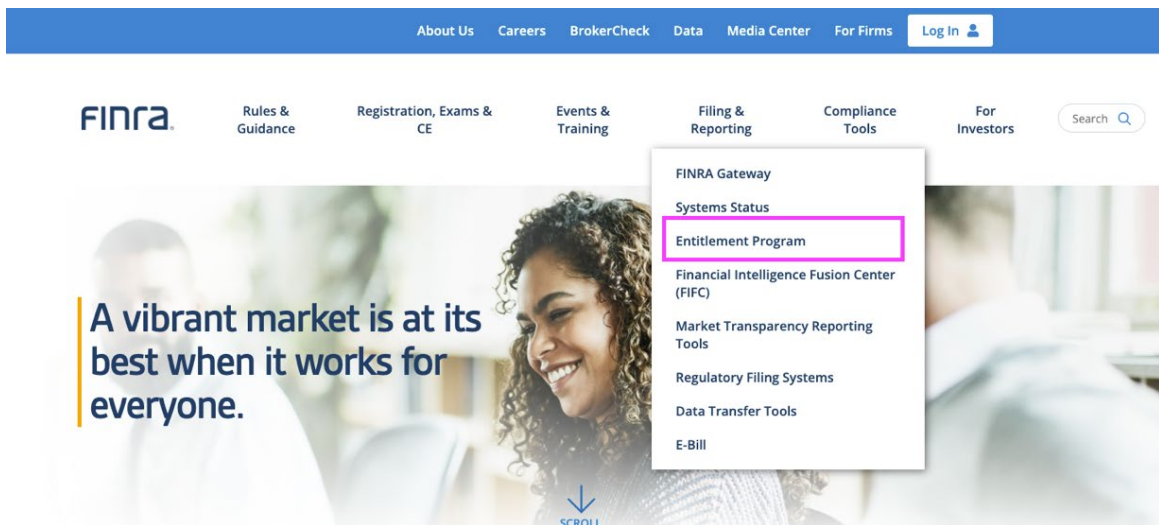
Overview of the Advertising Regulation Electronic Files (AREF) System

- AREF is accessed through FINRA Gateway Compliance Portal Dashboard. Go to the left-hand toolbar, select the “Regulatory Task Catalog” tab, select the category “Advertising Regulation” and choose whether to view filings or start a new filing.
- AREF allows FINRA-regulated firms to submit information to be reviewed by the FINRA Advertising Regulation Department and to receive FINRA review letters.
- In AREF users can:
 - Submit filings/New Communications with the public
 - View and save communications sent by FINRA’s Advertising Regulation Department to their firm
 - Search AREF to view and save archived letters
- AREF users must obtain a user ID and password from their firm’s Super Account Administrator (SAA).

Getting Started

Super Account Administrator – may grant access to the AREF system to anyone at your firm. For more information on FINRA’s SAA Entitlement Program go to [FINRA.org](https://www.finra.org):

- Hover on “**Filing & Reporting**”
- Select “**Entitlement Program**”



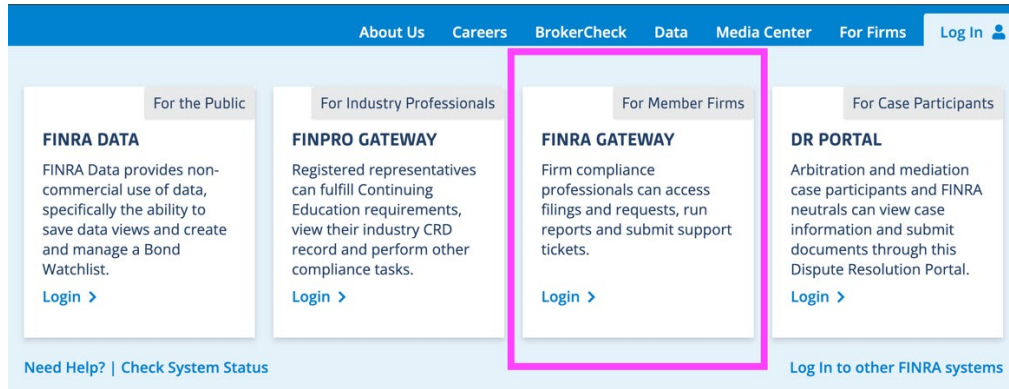
For entitlement questions, contact the Gateway Call Center: **(301) 869-6699**

Note: Access to the Advertising Fee Statement tab is a separate entitlement. This tab will only appear on the AREF Cabinet if the user has been granted access by the Firm’s SAA.

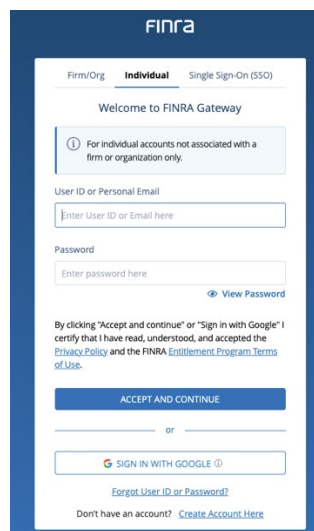
AREF Users

Note: Entitlements must be granted before you can continue in this description.

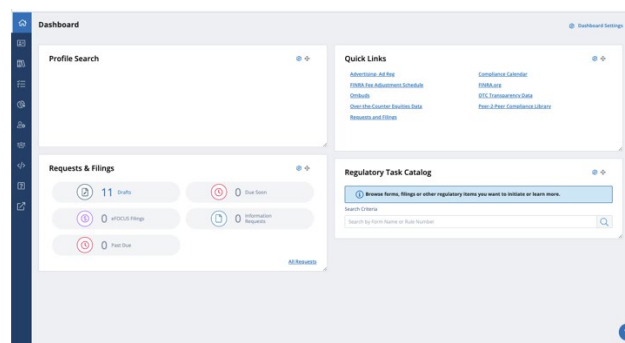
1. Go to finra.org, click “Log In”, Select “FINRA GATEWAY” and click on the Login button at the bottom of the screen.



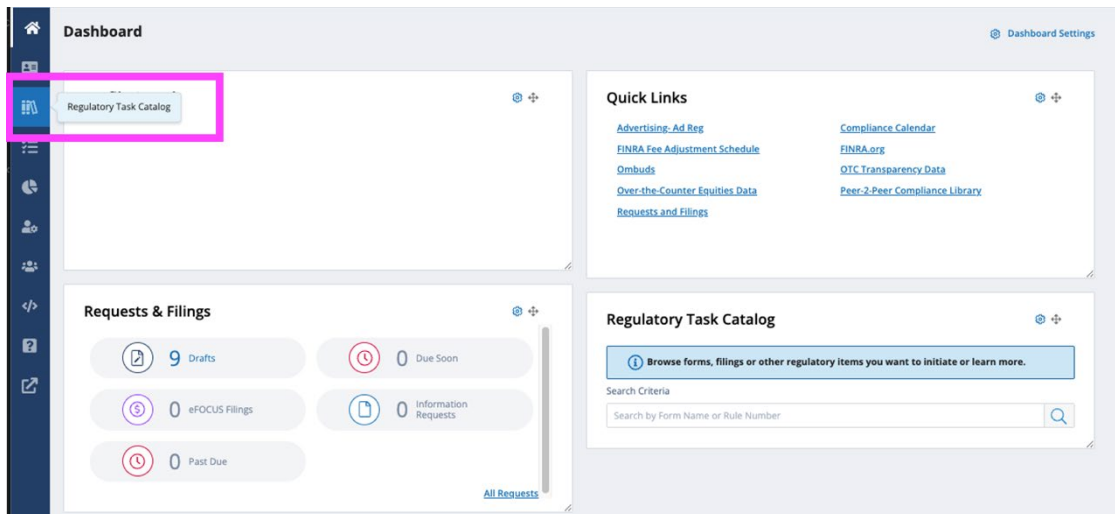
2. Enter your **UserID** and your **Password** and click the **Accept and Continue** button.



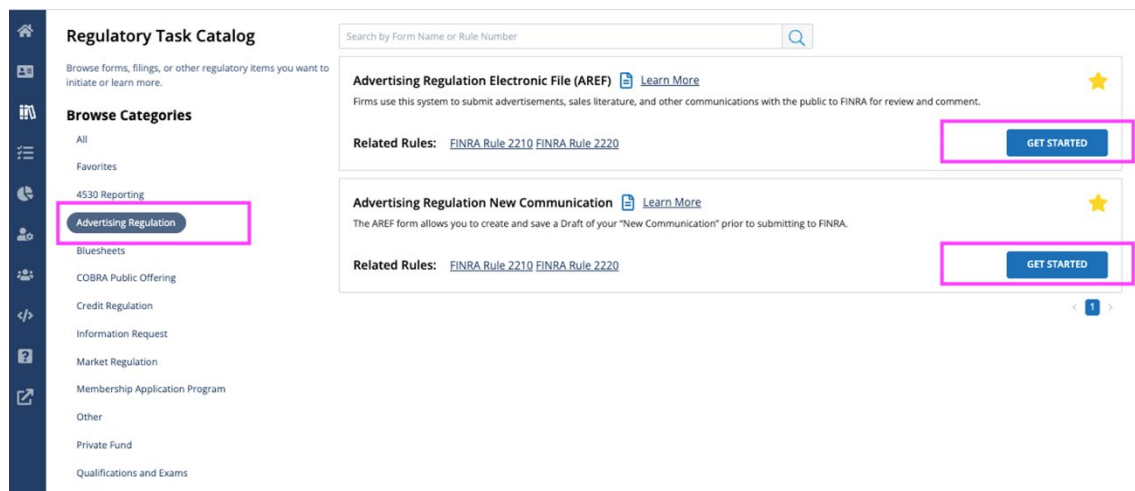
3. The FINRA Dashboard screen will appear.



4. Select the “Regulatory Task Catalog” on the left-hand toolbar



5. Filter by **Advertising Regulation** and you will see two options: to start a new filing or to view the cabinet.



The AREF Cabinet

Below is a quick summary of the tasks you can initiate from the AREF Cabinet:

1. Search filings
2. View a list of your filings.
3. Take actions such as editing a filing, flagging a filing, and adding more information.

The screenshot displays the AREF Cabinet interface. On the left is a sidebar with navigation icons and filters for Date, Status, AREF Filing, Type, and Approving Principal. The main area shows a search bar (1) and a list of filings. The list includes columns for Status, Reference No., Overall Result, Filing Date, External Reference Number, Final Review Letter Date, Approving Principal, and Actions. A 'Refresh' button (2) is located above the list. A context menu (3) is open over the 'Test 1' filing, showing options like Copy, Add, View History, View More, and Mark Read.

Test for Guide	Status	Reference No.	Overall Result	Filing Date	External Reference Number	Final Review Letter Date	Approving Principal	Actions
AREF Filing	Draft							[Edit] [Delete]
Testine Add info Order	Under Review	FR2025 0406 0037E		04/06/26	129302		[Redacted]	[Edit] [Delete] [More]
Test 1	Draft							[Edit] [Delete] [More]

Registration Information

Note: You will need to complete your Registration Information Screen *before* you will be able to submit a **New Communication** or view previously submitted material.

You will not see the “**New Communication**” tab until you have completed your Registration Information via the New Communication tab on the AREF Home page.

Click on your profile icon (#4 in the previous section) **Registration Information** tab to complete or update your registration:

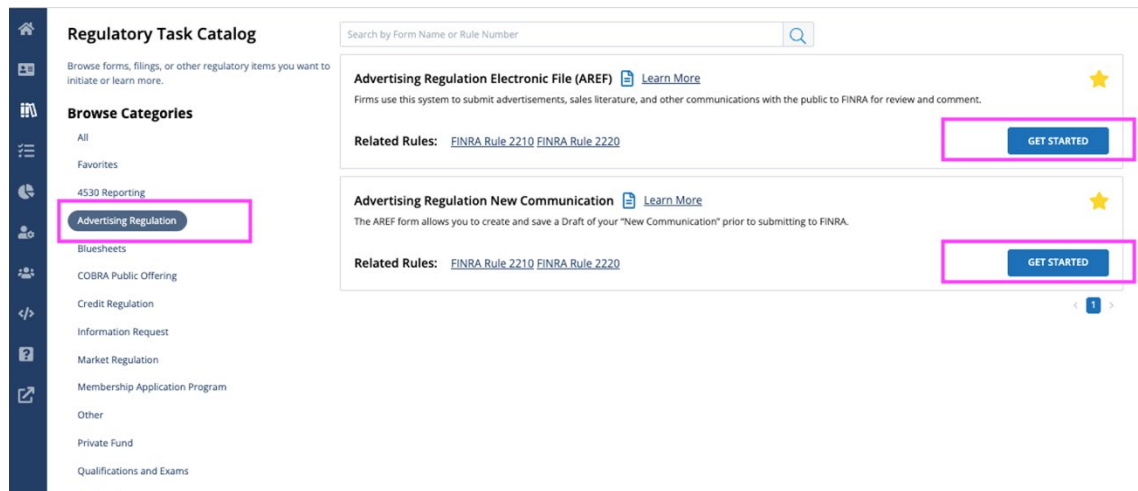
The screenshot displays the 'My Account' page for a user named Helen Benton. The page is titled 'My Account' and shows the user's profile information. The user is logged in as 'FINRA 3000005firm2' and is associated with 'FINRA DEMO FIRM (ORG ID: 3000005)'. The page includes a search bar, a notification bell, and a profile icon labeled 'HB'. The main content area is divided into two sections: 'Personal Profile' and 'Organization Profile'. The 'Personal Profile' section includes fields for Name, User ID, Email, Phone Number, and Cell Phone, along with links to 'Request name/email change', 'Change Password', and 'Change Security Questions'. The 'Organization Profile' section includes fields for Name and Org Id Number.

Personal Profile		
Name	User ID	Email
Helen Benton	3000005firm2	[REDACTED]
Phone Number	Cell Phone	
1234567890 Add Number	Add Number	

Organization Profile	
Name	Org Id Number
FINRA DEMO FIRM	3000005

Submitting a New Communication

Start by go to the **Regulatory Task Catalog** on the left-hand toolbar, sorting by **Advertising Regulation**, and selecting the **Create New Communication** button:



You will be asked to select a Date of First Use, Review Type, and the Piece Title, and then choose **Create Draft**

The screenshot shows the 'Create New Communication Draft' form. The form is titled 'Advertising Regulation Electronic Filing (AREF)' and includes a sidebar on the left with 'Advertising Regulation Electronic Files (AREF)'. The main content area contains a list of required fields: 'Date of First Use' (04/03/2026), 'Review Type' (Regular selected), and 'Piece Title' (Test Piece). A 'CREATE' button is highlighted in pink at the bottom right. The form also includes a 'Date of First Use' field with a calendar icon, a 'Review Type' field with radio buttons for 'Regular' and 'Expedited', and a 'Piece Title' field with a character count of '150 Characters left'.

Note: Under the new system you WILL be able to change the Date of First Use after the draft has been created.

Creating a New Communication Form

Once you click “Create Draft,” you will land on the New Communication Form:

- On the left-hand toolbar, you can move back and forth between the different pages
- On the bottom toolbar, you can complete several actions including Completeness Check, Saving, and Submitting

Advertising Regulation Electronic Filing (AREF) Filing Id: AREF-551 Reference No: - Estimated Fee: \$0.00 Filing Type: INITIAL DRAFT

AREF

- General
- Registered Principal
- Products
- Additional Information
- Registered Representative

General

Welcome to the redesigned AREF filing experience. This form has been rebuilt based on direct feedback from firms like yours to address common workflow challenges and improve efficiency. Fields with asterisks (*) are mandatory.

Date of First Use *

04/08/2026

Review Type *

Expedited Regular

Waiting for Review Letter *

Yes No

Piece Title *

Test for Guide

Page/size to be Reviewed *

Unit Type *

MINUTE

PAGE

WEBPAGE

Unit Count *

Attach Your Communication File *

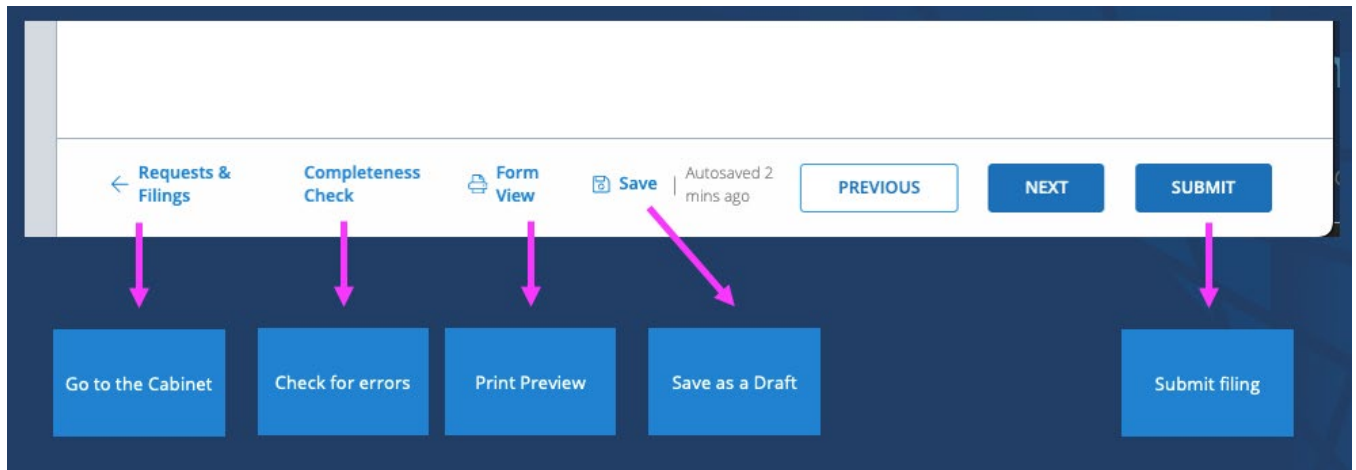
Drag and drop file(s) here or [browse](#)

← Requests & Filings Completeness Check Form View Save | Unsaved Changes NEXT SUBMIT

Overview

The New Communication Form has five sections, which need to be completed before submitting the form.

After completing the form, you may **Save as Draft** or perform a **Form View** in addition to clicking Submit:



- **Completeness Check** will show any errors in the form before you submit
- **Form View** will open a printer-friendly preview of the form in a separate browser. You can right-click on that view to use your browser settings to print a copy.
- **Save** will save the form and allow you to re-open it from the AREF Cabinet and complete at a later date before submitting to FINRA.
- **Submit** will upload your completed form and: assign the communication a reference number; and provide an estimated fee amount for the filing review.

Note: the next 5 sections will detail how to fill out the 5 sections in the New Communication Form:

1. General
2. Registered Principal
3. Products
4. Additional Information
5. Registered Representative

1. General Section

The General section of the New Communication Form requests much of the key information about the piece being submitted for FINRA review.

The screenshot shows the AREF General section form. The header includes 'Advertising Regulation Electronic Filing (AREF)', 'Filing Id: AREF-551', 'Reference No.', 'Estimated Fee: \$0.00', 'Filing Type: INITIAL', and a 'DRAFT' status. The left sidebar lists 'AREF' and 'General'. The main form area contains the following fields and options:

- Date of First Use ***: Text input field with '04/08/2026' and a calendar icon.
- Review Type ***: Radio buttons for 'Expedited' and 'Regular' (selected).
- Waiting for Review Letter ***: Radio buttons for 'Yes' and 'No' (selected).
- Piece Title ***: Text input field with 'Test for Guide'.
- Page/size to be Reviewed ***: Text input field.
- Unit Type ***: Radio buttons for 'MINUTE', 'PAGE', and 'WEBPAGE'.
- Unit Count ***: Text input field.
- Attach Your Communication File ***: A dashed box with a file upload icon and the text 'Drag and drop file(s) here or browse'.
- Explanation of how the communication will be used, or how it relates to any similar filings**: A text area with a '4000 Character limit' indicator.

At the bottom, there are navigation links: '< Requests & Filings', 'Completeness Check', 'Form View', 'Save | Unsaved Changes', and 'NEXT' and 'SUBMIT' buttons.

1. **Date of First Use** – you may edit this date
2. **Review Type** – Choose **Regular** or request **Expedited**.
3. **Waiting for Review Letter** – Choose **Yes** or **No**
4. **Piece Title** – Enter the title of the piece, using 160 or fewer characters.
5. **Pages/Size to be Reviewed** – You may specify minutes or pages in the Review Type dropdown.
6. **Attach your communication file:**
 - o See **Appendix A** for accepted file types.
 - o File size limit is 5 GB
7. **Explanation of how the communication will be used** – Complete this optional field as needed with 4,000 characters or less.
8. **Enter the FINRA Reference Number** – Enter the reference number of a similar or related filing
9. **Preceded or Accompanied by Prospectus** – Choose **Yes** or **No**
10. **Firm External Reference #** - If applicable, enter your firm's own tracking number.
11. **Main Contact** – Choose from the drop down.

2. Registered Principal Section

Please enter the Principal CRD ID for the Registered Principal who approved the communication, and the number will validate. You will also need to select the Approval Date and Title.

Advertising Regulation Electronic Filing (AREF) Filing Id: AREF-551 Reference No: - Estimated Fee: \$0.00 Filing Type: INITIAL DRAFT

AREF

- General
- Registered Principal**
- Products
- Additional Information
- Registered Representative

Registered Principal


i A registered principal is a qualified supervisor who has passed principal-level examinations and is authorized to review and approve advertising materials and communications with the public before they are used or filed with FINRA.

Need to update a Registered Principal's information? Registered Principal information comes directly from CRD records and can't be edited here. To update these details, contact your firm's FINRA Gateway administrator.

Principal's CRD ID or Name *

First Name * Middle Name Last Name *

Approval Date *

MM/DD/YYYY 

Title *

3. Product Section

The Product section requires you to select products that are applicable for the communication.

- **Delivery Method:** Choose from the drop down.
- **Rule Definition:** Choose from the drop down.
 - Click on the “**Rule Definitions**” hyperlink to view more details for each Rule Definition.
- **Products:** select all products
- **Additional Sections:** based on the selections, additional questions may pop up

The screenshot displays the AREF interface for a filing. The top navigation bar includes the filing ID (AREF-551), reference number, estimated fee (\$0.00), filing type (INITIAL), and a DRAFT status. The left sidebar shows navigation options: AREF, General, Registered Principal, Products (selected), Additional Information, and Registered Representative. The main content area is titled 'Products' and contains a helpful tip: 'Check every product type this communication relates to. This helps FINRA analysts apply the appropriate rules and regulations to your submission. Fields with asterisks (*) are mandatory.' Below this, there are two mandatory dropdown menus: 'Delivery Method *' and 'Rule Definition *', both currently set to 'Select'. The bottom section, 'Select all Products applicable for this communication *', features a grid of 18 checkboxes for various product types: 529 Education Funding Plans 2 (529PLANS), Business Development Company (BDC), Certificates of Deposit (CDS), Closed-End Mutual Fund (CLOSED), Collateralized Mortgage Obligation (CMO), Corporate Bonds (BONDS), Day Trading (DAYTRADE), Direct Participation Program (DPP), Discount Brokerage (DISCOUNT), Exchange Traded Fund (ETF), Fixed Insurance (FIXEDINS), General Business (GENERAL), Government Securities (GOVT), Hedge Funds (HEDGEFUN), Municipal Securities (MUNI), Note Offerings (NOTES), Open-End Mutual Fund (MUTUALS), Options (OPTIONS), Other (OTHER), Private Placements (PRIVPLMT), Real Estate Investment Trusts (REITS), Security Futures (SFUTURES), Stocks (STOCKS), Structured Products (STRUCPRD), Unit Investment Trust (UIT), Variable Annuity (VA), Variable Life Insurance (VLI), and test (TEST).

4. Additional Information

This section has two purposes during the lifecycle of the filing:

1. To upload and include any additional information that is necessary for the filing both at creation and after the submission.
2. To view and retrieve FINRA communications about this submission.

To upload additional information, click the “**Add Attachment**” button at the bottom left of this section:

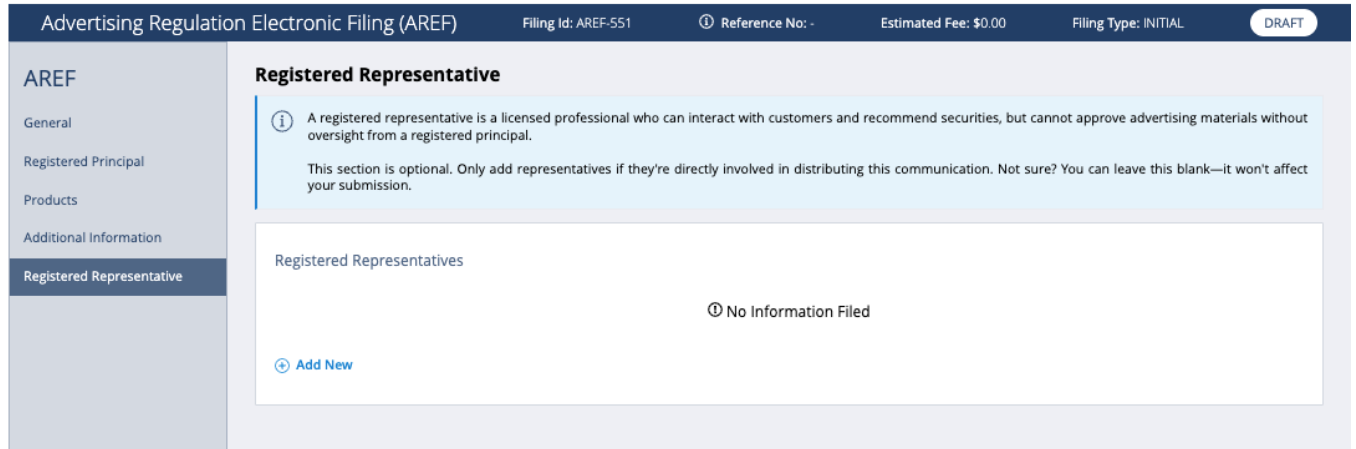
The screenshot shows the AREF interface for filing ID AREF-551. The top navigation bar includes 'Advertising Regulation Electronic Filing (AREF)', 'Filing Id: AREF-551', 'Reference No: -', 'Estimated Fee: \$0.00', 'Filing Type: INITIAL', and a 'DRAFT' status button. On the left, a sidebar lists navigation options: 'AREF', 'General', 'Registered Principal', 'Products', 'Additional Information' (highlighted), and 'Registered Representative'. The main content area is titled 'Additional Information' and contains an informational message: 'Upload supporting materials to provide extra context for FINRA's review—such as cover letters, backup documentation, or related materials. This section is optional and won't affect your ability to submit. However, to view all FINRA communications about this filing: Go back to the 'Requests & Filings' and under the 'Action' column, click on the filing's kebab menu (:) - "View More" -> "Documents" to access all review letters, comments, and responses.' Below this message, it states 'No Information Filed' and provides an 'Add New' button.

Next, you will be prompted to upload the **Attachment**, select the appropriate **Purpose**, and include **Notes**, if needed:

The screenshot shows a modal window titled 'Add Additional Information'. It contains an informational message identical to the one in the previous screenshot. Below the message, there is a 'Purpose Of Additional Information' dropdown menu with 'Select' as the current value. Underneath, there are radio button options for 'Include notes or attachment': 'Attachment only', 'Notes only', and 'Both attachment and notes' (which is selected). Below these options is a file upload area labeled 'Attach Your Communication File' with a 'Drag and drop file(s) here or browse' prompt. At the bottom, there is a 'Notes' text area with a '4000 Character limit' indicator. The modal concludes with 'CANCEL' and 'ADD' buttons.

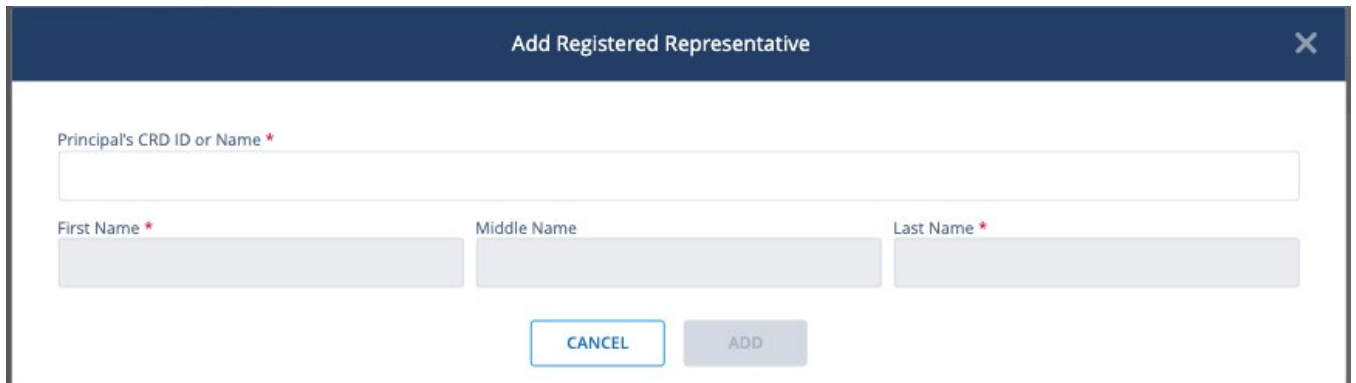
5. Registered Representative Section

To add any Registered Representatives who are related to or named in this communication, first choose the **“Add Representative”** button at the bottom of this section:



The screenshot shows the 'Registered Representative' section of the Advertising Regulation Electronic Filing (AREF) interface. The top navigation bar includes 'Advertising Regulation Electronic Filing (AREF)', 'Filing Id: AREF-551', 'Reference No: -', 'Estimated Fee: \$0.00', 'Filing Type: INITIAL', and a 'DRAFT' button. The left sidebar lists navigation options: 'AREF', 'General', 'Registered Principal', 'Products', 'Additional Information', and 'Registered Representative' (which is highlighted). The main content area is titled 'Registered Representative' and contains an information box with a blue background and an 'i' icon. The information box text reads: 'A registered representative is a licensed professional who can interact with customers and recommend securities, but cannot approve advertising materials without oversight from a registered principal. This section is optional. Only add representatives if they're directly involved in distributing this communication. Not sure? You can leave this blank—it won't affect your submission.' Below the information box is a large white area with the heading 'Registered Representatives' and the text 'No Information Filed' with a circular icon. At the bottom left of this area is a blue '+ Add New' button.

You will then be prompted to look up and add a representative using the dialog box below:



The screenshot shows a dialog box titled 'Add Registered Representative' with a close button (X) in the top right corner. The dialog box contains a form with the following fields: 'Principal's CRD ID or Name *' (a single-line text input), 'First Name *' (a text input), 'Middle Name' (a text input), and 'Last Name *' (a text input). At the bottom of the dialog box are two buttons: 'CANCEL' and 'ADD'.

Checking the Status of a Submission

Statuses

- The Filing ID is the unique identifier for each filing created and displayed on the AREF home page, which is used to view details of the submitted filing.
- In contrast, the FINRA Reference Number, is generated when the filing is submitted and remains the tracking number for the filing.
- The Status of the Filing indicates what actions are available:
 - **Draft** – has not submitted to FINRA; you can click on the edit button the right to complete and submit.
 - **Pending** – submitted to FINRA with no response
 - **Unread** – there is a FINRA response that has not been read.
 - **Read** – there is a FINRA response that has been read.

Actions

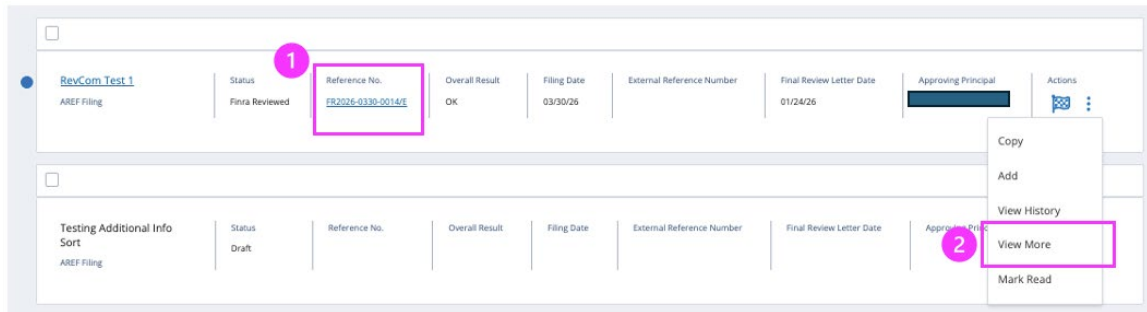
1. **Filter:** Use the filters to show only certain filings
2. **Search:** Search for filings, using "" "" for specific cases
3. **Sort By:** Sort the filings by different parameters
4. **Bulk Actions:** Select the boxes to make bulk actions
5. **Draft Actions:** Edit or delete the filing
6. **Submitted Actions:**
 - Users can flag/unflag filings and mark as read/unread
 - Click the 3 dots and you will be able to
 - Copy the filing
 - Add additional information
 - See the **Viewing History** of the document
 - See more details with **View More**
 - Users can toggle on **Unread** and **Read** to update the status. (Ex. change Unread to Read).

The screenshot displays the AREF system interface. On the left, a sidebar contains filter options for Date (All), Status (Draft, Under Review, FINRA Reviewed), AREF Filing, Type (Filing), and Approving Principal. The main area shows a table of filings with columns for Status, Reference No., Overall Result, Filing Date, External Reference Number, Final Review Letter Date, Approving Principal, and Actions. A search bar at the top right is labeled '2'. A 'Refresh' button is labeled '3'. A table row for 'Test for Guide' is labeled '4'. A table row for 'Testing Add Info Order' is labeled '5'. A table row for 'Test 1' is labeled '6'. A dropdown menu is open for the 'Test 1' row, showing options: Copy, Add, View History, View More, and Mark Read.

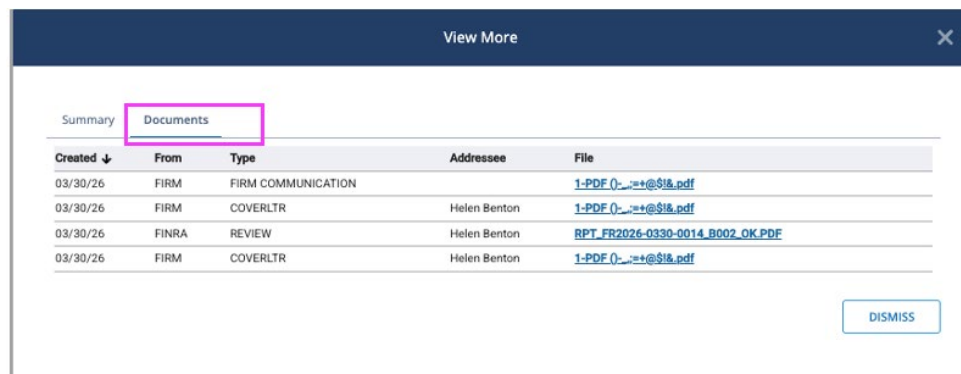
Status	Reference No.	Overall Result	Filing Date	External Reference Number	Final Review Letter Date	Approving Principal	Actions
Draft							[Edit] [Delete]
Under Review	FR2026-0406-0037/E		04/06/26	129302		[Approving Principal]	[3 Dots]
Draft							[Edit] [Delete]

Viewing FINRA Responses

There are two actions that can be taken from the AREF home page to view FINRA responses:

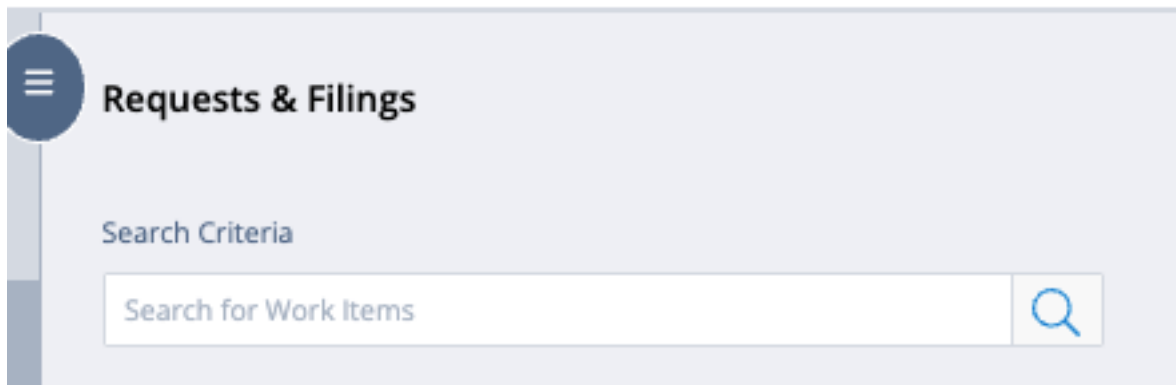


1. To view the most recent FINRA communication, click on the “Reference Number.”
 - a. The Reference Number will be **blue** and underlined when there is a FINRA response.
 - b. When you click on the Reference Number, the FINRA communication document will download as a PDF File.
2. To view all FINRA communications, click the **View More** button and then the **Documents** tab



Document Search

To use the search, enter the keyword(s) you wish to search in the text entry field and click **Search**:



The screenshot shows a web interface for 'Requests & Filings'. On the left is a dark blue sidebar with a white hamburger menu icon. The main area has a light blue header with the text 'Requests & Filings'. Below the header, the text 'Search Criteria' is displayed. A search bar is located below this, containing the placeholder text 'Search for Work Items' and a magnifying glass icon on the right side.

You can perform a wildcard word search using the asterisk (*).

For example, if you search on *part** your results list will contain documents or attachments with *part, parts, party, partner, partnership*, or any other word starting with the letters *p-a-r-t*.

It is also possible to start the word with an asterisk or use it in the middle of a word.

You can perform a specific search using quotes ""

For example, if you want to look up case FX2026-0414-0003/E, put "FX2026-0414-0003/E" in the search bar

View Fee Statement

To view your Fee Statement, go to the left-handed toolbar and select the “Reports” tab:

The screenshot shows the 'Reports' section of the system. The left-hand toolbar has the 'Reports' icon highlighted with a red box. The main content area shows a search bar and a grid of report cards. The 'Reports' tab is selected in the top navigation bar.

Report Name	Description
Active Individuals Roster	Explore a comprehensive set of data attributes about individuals currently employed by your firm.
Non-registered Locations With RSL Status	Quickly identify the status of non-registered branch locations that are private residences.
Regulatory Filing History	Explore filings submitted by your firm to FINRA.
Residential Supervisory Locations	Track individuals that are working as supervisors from non-registered branch locations that are private residences and are listed as Residential...
Rule 4111 Compliance Tool	Review official and/or unofficial Metrics computed as specified by Rule 4111.
Individual Roster (including terminated individuals)	Explore a limited set of data attributes about individuals currently and formerly employed by...
Account Details Report	Review information about all accounts that belong to your organization.
Accounts Certification Report	This report provides the firm with a list of all accounts and the entitlements those accounts have access to, so the firm can certify that all accounts...
All Accounts Report (To be Deprecated)	Review information about all accounts that belong to your organization.
Branch Roster	Explore a comprehensive set of data attributes
Branches by Deficiency Status	Explore registered branches categorized by branch
Fingerprint Results Views Report	Usage report displaying each instance of when a

Once you choose the “[Fee Statement](#)” button, you will be able to view the current and prior months’ Fee Statements by clicking on the filter.

The screenshot shows the 'AREF Transaction History' table. The table has columns for Transaction Date, Transaction Code, Description, and Fee Amount. The total count is 1276. The table is filtered to show transactions from 2025-10-16 to 2026-03-30.

Transaction Date	Transaction Code	Description	Fee Amount
2026-03-30	FileFee	FR2026-0330-0016/E...	\$300.00
2026-03-30	FileFee	FR2026-0330-0015/E...	\$300.00
2026-03-30	FileFee	FR2026-0330-0014/E...	\$300.00
2026-03-11	FileFee	FR2026-0311-0009/E...	\$432,620.00
2026-03-05	FileFee	FR2026-0303-0005/E...	\$300.00
2025-11-12	FileFee	FX2025-1112-0040/...	\$600.00
2025-11-12	FileFee	FR2025-1112-0039/...	\$300.00
2025-11-12	FileFee	FX2025-1112-0032/...	\$600.00
2025-11-12	FileFee	FX2025-1112-0026/...	\$600.00
2025-11-12	FileFee	FX2025-1112-0014/...	\$600.00
2025-11-12	FileFee	FX2025-1112-0013/...	\$600.00
2025-11-12	FileFee	FX2025-1112-0012/...	\$600.00
2025-11-12	FileFee	FX2025-1112-0011/...	\$600.00
2025-10-16	FileFee	FR2025-0801-0001/...	\$300.00

Note: To view older fee statements, you must go to the FINRA E-Bill system via FINRA Firm Gateway. Once you have chosen the desired month, the Fee Statement will display:

FAQ

1. **Question: I thought I uploaded a file, but it does not upload and there is no error**
 - a. **Answer:** Please confirm that the file is an accepted file extension (see [Appendix - Upload File Formats](#)). If it is not, then the system will not upload the file.
1. **Question: Is Read/Unread just for my viewing?**
 - a. **Answer:** Read/Unread is firm level, not individual level. If you mark a file as read/unread, it will show up as that status for your firm coworkers.
2. **Question: I keep clicking flag/unflag or read/unread and it's not changing!**
 - a. **Answer:** Click the hard refresh button on the top of the filing list.
3. **Question: Will the form autosave?**
 - a. **Answer:** Once the form is in its draft state, it will autosave
4. **Question: What special characters are allowed?**
 - o **Answer:** Certain characters are not allowed, See [Appendix - Special Characters](#).

Appendix

Upload File Formats

The following formats can be attached and uploaded to AREF:

Category	Extensions
Documents	.doc, .docx, .pdf, .rtf, .rtx, .txt, .csv, .xml, .pub
Spreadsheets	.xls, .xlsm, .xlsx
Presentations	.ppt, .pptx
Images	.jpg, .jpeg, .png, .gif, .bmp, .tga, .tif
Audio	.mp3, .aif, .m3u, .m4a, .mid, .wav, .mpa, .wma
Video	.wmv, .mov, .swf, .3g2, .3gp, .avi, .mp4, .mpg, .mpeg, .m4v, .webm, .asf
Archives/Other	.zip, .apk, .wpl, .gig

Constraints:

- Max 1 file per upload field
- Same list applies to both the main filing upload (General section) and Additional Information attachments

Special Characters

The following special characters are NOT allowed in file names.

/ { } ^ % ` " ' ' ~ [] < > # — | \

Sample Email Notification upon Registration to AREF:

Send	From	FINRA_ADV@finra.org
	To	
	Cc	
	Bcc	
	Subject	Welcome to Electronic Filing System of FINRA-Advertising
From: Advertising Department E-Mail Service FINRA_ADV@finra.org		
Sent: Tuesday, August 30, 2022 12:09:28 AM		
To: John Doe		
Cc: FINRA Advertising Regulation		
<p>Welcome to the Advertising Regulation Electronic Filing System. You are now registered to view and save review letters for filings you submitted to the Advertising Regulation Department. You can access current letters as well as archived letters. Please contact the Super Account Administrator at your firm to change access levels to posted review letters. If you wish to contact the Advertising Regulation Department staff, please call (240) 386-4500 or send an e-mail message to FINRA_ADV@FINRA.ORG</p>		
<p>For Reference: Your Firm BD Number is 123456</p>		

Sample Email Notification when there's a new Review Letter on AREF:

Send	From	FINRA_ADV@finra.org
	To	
	Cc	
	Bcc	
	Subject	FR2022-1234-0000-002 for J. Doe at FINRA MEMBER FIRM (123456)
From: Advertising Department E-Mail Service < FINRA_ADV@finra.org >		
Sent: Wednesday, September 14, 2022 5:04 PM		
To: John Doe < JDoe@yourfirmemail.com >		
Subject: FR2022-1234-0000-002 for J. Doe at FINRA MEMBER FIRM (123456)		
<p>You have a new review letter posted on the Advertising Regulation Electronic Filing System. You can use the system to view and save the current letter or archived letters. Please contact the Super Account Administrator at your firm to change access levels to posted review letters. If you wish to contact the Advertising Regulation Department staff, please call (240) 386-4500 or send an e-mail message to FINRA_ADV@FINRA.org</p>		
<p>To view letters please access: https://firms.finra.org</p>		