



Potential 2027 Regulatory Element Topics

As of **June 22, 2026**, the following topics are being considered by FINRA and the CE Council for coverage in the **2027 Regulatory Element learning plan**. These topics are subject to change. FINRA and the CE Council will publish the 2027 Regulatory Element learning plan topics by Oct. 1, 2026 on FINRA's [View a Regulatory Element Learning Plan](#) page.

Topics under consideration for the 2027 Regulatory Element learning plan:

Gifts

Regulation BI's Care Obligation – Third Component

Communications Issues Relating to AI, Finfluencers and Social Media

Political Contributions and Prohibitions on Municipal Securities Business

Client Market Access Controls

Financial and Operational Market Access Controls

Private Placement Filings

Prepublication Review of Research Reports