



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems  
UNIFORM PRACTICE ADVISORY (UPC #56-26) 06/29/2026 Saks Global  
Enterprises LLC**

Notice has been received that the above Company's Third Amended Joint Chapter 11 Plan has become effective on **06/26/2026**. Pursuant to the plan each Holder of a Prepetition Initial Notes Claim shall receive its *pro rata* share of Litigation trust Interest. See the Company's **Third Amended Joint Chapter 11 Plan** for more details.<sup>1</sup>

Issuer	Security Description	CUSIP	Treatment Class
Saks Global Enterprises LLC	11% Senior Secured Note 144A due 12/15/2029	79380MAA3	Class 4-G
Saks Global Enterprises LLC	11% Senior Secured Second Out Note 144A due 12/15/2029	79380MAB1	Class 4-C
Saks Global Enterprises LLC	11% Senior Secured Third Out Note 144A due 12/15/2029	79380MAC9	Class 4-F
Saks Global Enterprises LLC	11% Senior Secured Second Out Note 144A due 12/15/2029	79380MAD7	Class 4-C
Saks Global Enterprises LLC	11% Senior Secured Note RegS due 12/15/2029	U8000MAA9	Class 4-G
Saks Global Enterprises LLC	11% Senior Secured Second Out Note RegS due 12/15/2029	U8000MAB7	Class 4-C
Saks Global Enterprises LLC	11% Senior Secured Second Out Note RegS due 12/15/2029	U8000MAD3	Class 4-C
Saks Global Enterprises LLC	11% Senior Secured Third Out Note RegS due 12/15/2029	U8000MAC5	Class 4-F

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations - 1-866-776-0800.

<sup>1</sup> See e.g., *In re: Saks Global Enterprises LLC.*, et al., Debtors. Chapter 11 Case No. **26-90103 (ARP)** (Jointly Administered) **Third Amended Joint Chapter 11 Plan** Under Chapter 11 of the Bankruptcy Code.