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FINANCIAL INDUSTRY REGULATORY AUTHORITY
OFFICE OF HEARING OFFICERS

DEPARTMENT OF ENFORCEMENT, Complainant, v. JAMES F. EDDINS II (CRD No. 5205462), Respondent.	Expedited Proceeding No. ARB260004 RCM No. 20260893057 Hearing Officer–LOM

ORDER GRANTING UNOPPOSED MOTION TO DISMISS PROCEEDING WITHOUT PREJUDICE

On January 26, 2026, FINRA's Dispute Resolution Services, pursuant to FINRA Rule 9554, issued a notice of intention to suspend Respondent James Francis Eddins II from associating with any FINRA member firm in any capacity for failure to comply with an arbitration award in *Kestra Investment Services, LLC and Kestra Financial, Inc. v. James Francis Eddins, II*, FINRA Dispute Resolution Services Case Number 25-00172.

On February 13, 2026, Respondent timely filed a request for hearing pursuant to FINRA Rule 9559. The hearing in this expedited proceeding is currently scheduled for June 2, 2026.

On May 29, 2026, the Department of Enforcement moved to dismiss this expedited proceeding without prejudice ("Motion") on the grounds that during hearing preparations, it had identified potential concerns regarding service of the arbitration award. As a result, Enforcement said that it had revisited its decision not to seek dismissal of this expedited proceeding based on Respondent's pending motions in the U.S. District Court for the Western District of Texas and the U.S. District Court for the Southern District of Alabama to vacate the arbitration award. To remove any questions regarding service of the arbitration award, Enforcement said that it intends to ask Dispute Resolution Services to re-serve the award on Respondent. Enforcement noted in its Motion that if Respondent's motions to vacate the arbitration award are dismissed, denied, or withdrawn, FINRA may issue another notice of intent to suspend Respondent pursuant to FINRA Rule 9554 if he has not complied with the award at that time.

Respondent does not oppose Enforcement's Motion.

For good cause shown, the Motion is **GRANTED**, and this expedited proceeding is **DISMISSED** without prejudice. The expedited hearing scheduled for June 2, 2026, is canceled.

SO ORDERED.

Lucinda O. McConathy
Hearing Officer

Date: June 1, 2026

Copies to:

James F. Eddins II, Respondent (via OHO Docket Portal)
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