

Attachment A

Candidate Profile Form: NAC Election for a Small Firm NAC Member

Please complete all sections and email this document to CorporateSecretary@finra.org.

Name: Date:

(As you would like it to appear on official correspondence)

Current Registration

Title:

Firm Name:

Firm CRD#: Individual CRD #:

Address

Street Address: Suite/Floor:

City: State:

Email:

Phone:

Provide a brief biography or summary of your qualifications to serve as a NAC member. The biography should contain only factual information, such as employment experience, service on other industry-related boards and committees, education credentials, etc. (The biography should be no more than 500 words and **will be displayed on finra.org**.)