FINRA Institute at Georgetown

Certified Regulatory and Compliance Professional (CRCP)® Program

The Securities Industry's Leading Executive Education Program for Compliance Professionals



FINRA®

GEORGETOWN UNIVERSITY

McDONOUGH SCHOOL of BUSINESS



Become a **Certified Regulatory and Compliance Professional** Through the FINRA Institute at Georgetown

Designed for high-potential compliance and business professionals with executive-level aspirations, the FINRA® Institute at Georgetown Certified Regulatory and Compliance Professional (CRCP)® program delivers a comprehensive and rigorous course of instruction on the foundation, theory and practical application of securities laws and regulations.

The CRCP® program draws on the expertise of world-renowned professors at Georgetown University's McDonough School of Business and law school, senior regulators and industry practitioners. The program brings together a diverse group of participants from securities firms, the legal profession, FINRA, the Securities and Exchange Commission and other regulators to provide a unique learning experience for participants.

Developed by FINRA, which regulates brokerage firms doing business with the public in the United States, and Georgetown University's McDonough School of Business—the premier destination for executive education—the CRCP program is a career-enhancing designation designed specifically for securities industry regulatory and compliance professionals, practicing attorneys, and foreign, federal and states securities regulators.

Participants who successfully complete the program are designated Certified Regulatory and Compliance Professionals by the FINRA Institute at Georgetown.

The program is designed for:

- compliance and business professionals on a leadership track;
- high-potential regulatory, legal and compliance staff;
- business-line professionals with increasing compliance responsibilities; and
- state, federal and international regulators.

Participants benefit from:

- rigorous instruction on securities laws and the theory behind securities regulation;
- access to world-renowned professors from Georgetown University's McDonough School of Business and law school, industry experts, other regulators and FINRA senior staff;
- learning alongside a diverse group of participants;
- networking relationships with industry peers and regulators; and
- the credibility that comes from earning the CRCP designation.

Program Elements and Requirements

WEEK 1
Foundation | July

Participants gather on Georgetown University's campus at the McDonough School of Business for intensive instruction, discussion and case studies, gaining a strong understanding of the foundation and application of the financial services industry rules and financial regulations.

Topics include:

- Securities law and regulatory structure
- Books and records
- Supervisory practices and internal controls
- Communications with the public
- Fintech

WEEK 2
Capstone | November

Participants reconvene at Georgetown University's McDonough School of Business for in-depth coursework* that explores a series of advanced-level regulatory and compliance topics that help participants build on previous coursework covered during Week I of the CRCP program.

Topics include:

- Cybersecurity
- Regulation Best Interest
- Anti-money laundering
- Generative AI and LLMs
- Remote supervision

* *Although coursework must be completed within two consecutive calendar years, completion in the same calendar year is recommended.*

Pre-Course Work

Candidates are assigned materials to review in advance of the coursework—including rules, regulations, compliance and regulatory guidance, and other relevant readings.

Assessments

On the final day of the Week I and Week II courses, participants must complete a written assessment. Participants who pass both assessments are awarded the CRCP designation.

Continuing Education

Program graduates are required to complete 12 hours of continuing education every three years as of their certificate anniversary award date. The continuing education requirement helps ensure that graduates remain current on compliance topics, rules and regulations, and emerging issues in the financial services industry.

Registration and Fees

To register, complete the online form for either Week I Registration or Week II Registration. Online registration closes seven days prior to the start of each course. You will receive an email confirmation of your registration shortly after completing the form. **Please make sure your registration has been confirmed prior to your arrival onsite at the course.** If you experience difficulties registering, please call (800) 321-6273 or contact us via email.

COST PER WEEK* (July, November)

FINRA Member $6,500

Non-Member $7,500

Government/Regulator $6,500

* *Price includes registration fees, hotel accommodations, meals and materials.*

Cancellation Policy

A full refund less a $250 processing fee will be granted for written cancellation requests received more than 60 days before the start date; 50% refund for requests received 15–60 days before the start date; no refund for cancellations fewer than 15 days before or after the start date.

Registration postponements will be granted for written requests received more than 60 days before the start date, subject to a $250 postponement fee; no postponements will be granted within 60 days of the start date.

Travel Disclaimer: FINRA suggests that you do not purchase restricted or non-refundable tickets for travel to a course. FINRA assumes no liability for penalties or fare increases should a course sell out or in the unlikely event that a course is cancelled or rescheduled for any reason.

CRCP Small Firm Scholarship

Each year, the FINRA CRCP Small Firm Scholarship is awarded to five industry professionals from small firms—those with 150 or fewer registered representatives. FINRA developed the FINRA CRCP Small Firm Scholarship to facilitate participation from small firms for whom the cost of the program may be prohibitive. The scholarship covers full tuition and room and board for the entire program—travel and all other lodging expenses are the responsibility of the recipient. Scholarships are awarded to both the individual and the firm, and are not transferable. Therefore, if a scholarship recipient leaves his or her firm for any reason, the CRCP scholarship is forfeited by both the individual and firm. If the individual moves to another small firm, the individual may reapply for a scholarship during the next scholarship selection period. For additional information about the CRCP Scholarship Program, including eligible applicant criteria and the application and selection processes, visit our website.

About FINRA and Georgetown University's McDonough School of Business

FINRA Institute at Georgetown

The FINRA Institute at Georgetown was established by FINRA and Georgetown University's McDonough School of Business to deliver executive education for financial services industry regulatory and compliance professionals. Since the CRCP program's inception, more than 1,700 professionals have earned the CRCP designation.

https://www.finra.org/events-training/finra-georgetown

FINRA

FINRA is a not-for-profit organization dedicated to investor protection and market integrity. It regulates one critical part of the securities industry—brokerage firms doing business with the public in the United States. FINRA, overseen by the SEC, writes rules, examines for and enforces compliance with FINRA rules and federal securities laws, registers broker-dealer personnel and offers them education and training, and informs the investing public. In addition, FINRA provides surveillance and other regulatory services for equities and options markets, as well as trade reporting and other industry utilities. FINRA also administers a dispute resolution forum for investors and brokerage firms and their registered employees.

www.finra.org

Georgetown University's McDonough School of Business

Georgetown University's McDonough School of Business, the premier destination for global business education, provides a transformational education through classroom and experiential learning, preparing students to graduate as principled leaders in the service to business and society. Through numerous centers, initiatives, and partnerships, Georgetown McDonough seeks to create a meaningful impact on business practice through both research and teaching. All academic programs prepare students to be "global ready" by providing a global perspective, woven through the undergraduate and graduate curriculum in a way that is unique to Washington, D.C.—the nexus of world business and policy—and to Georgetown University's connections to global partner organizations and a world-wide alumni network. Founded in 1957, Georgetown McDonough is home to some 1,400 undergraduates, 1,000 MBA students, and 1,200 participants in executive degree or custom programs.

http://msb.georgetown.edu

FINRA

GEORGETOWN UNIVERSITY
McDONOUGH SCHOOL of BUSINESS

Investor protection. Market integrity.

1700 K Street, NW
Washington, DC 20006-1506
www.finra.org

© 2026 FINRA. All rights reserved.
H-102274—11/26