(Refer to UPC#86-26) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #90-2026) 11/10/2026

WHEN, AS AND IF ISSUED SETTLEMENT DATES

ISSUE	**Settlement Date**
Issuer Name: Live Oak Bancshares Inc (LOBKL)	11/12/2026

Class of Security: DEP SHS REPSTG 1/40TH INT NON CUM PERP PFD SER B %

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.