



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems**  
**UNIFORM PRACTICE ADVISORY (UPC # 38-14) 10/17/2014**

**Quotation and Trading Halt for all OTC Equity Securities**

On Friday, October 17, 2014 at 11:05:06 a.m. E.T., the Financial Industry Regulatory Authority, Inc. ("FINRA") halted quoting and trading in all OTC Equity Securities pursuant to FINRA Rule 6440(a)(3). See UPC Notice # 37-14. FINRA determined to impose a temporary halt because of a lack of current quotation information.

Members are hereby advised that FINRA is lifting the market-wide quoting and trading halt in all OTC Equity Securities effective Friday, October 17, 2014 at 1:00:00 p.m. E.T.

Members are permitted to display quotations for a 15 minute quote-only period beginning at 12:45:00 p.m. E.T. on Friday, October 17, 2014.

Questions regarding this notice can be directed to: FINRA Operations at (866) 776-0800.