

FINRA Entitlement Modification Form
Member or Non-Member Firm
Web Electronic File Transfer (Web EFTSM)

Instructions: Complete this form for each contact whose information needs to be modified or to delete a contact person. This form must be signed by a Web EFT Contact as designated on an approved Web EFT UAAF on file with FINRA. Any field marked with an asterisk (*) is a required field. Fax or mail the signed form – always include the signature page along with the applicable Modify or Delete sections.

Please ✓ the appropriate box(es).

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To Modify Personal Data Only – Complete only the fields that need to be changed in the Modify Personal Data Section.

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To Delete a Business or Technical Contact – Complete the Delete Contact Section.

* Organization ID#: _____ * Firm Name: _____

Modify Business Contact Personal Data Section

Name: _____
(First, Middle, Last)

Email Address: _____ Telephone Number: _____

Fax Number: _____

Modify Business Contact Personal Data Section

Name: _____
(First, Middle, Last)

Email Address: _____ Telephone Number: _____

Fax Number: _____

Modify Technology Contact Personal Data Section

Name: _____
(First, Middle, Last)

Address: _____

Title: _____ E-mail Address: _____

Telephone Number: _____ Fax Number: _____

Modify Technology Contact Personal Data Section

Name: _____
(First, Middle, Last)

Address: _____

Title: _____ E-mail Address: _____

Telephone Number: _____ Fax Number: _____

Delete Contact Section

Name of Contact to be deleted: _____
(First, Middle, Last)

Reason For Deleting: _____

Name of Contact to be deleted: _____
(First, Middle, Last)

Reason For Deleting: _____

* Signature: _____ * Date: _____
(Must be signed by a Web EFT Contact)

* Print Name: _____
(Please print clearly)

**Please FAX Modification Form to:
FINRA Entitlement Group at 240.386.4669**

or mail to:

**Web EFT Entitlement Request
FINRA Entitlement Group
9509 Key West Avenue
Rockville, Maryland 20850**

**Questions: Call Gateway Call Center at 301-869-6699
EFT Information
www.finra.org/WebEFT**