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SUBJECT	Addendum to OATS Reporting Technical Specifications, August 7, 2008
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On August 7, 2008, FINRA published *The OATS Reporting Technical Specifications* describing technical enhancements and enhancements required to support the OATS November 10, 2008 release. This addendum includes the following:

1.) Additional Destination Code representing the BATS Exchange
2.) Additional Error Code for invalid alphanumeric character
3.) Change in the validation for the Limit Order Display Flag

Destination Code
The Destination Code of 'XZ' has been added to represent orders routed to the BATS Exchange. This new code will be available on October 20th.

Error Code for Invalid Alphanumeric Character
OATS has added a new error code to identify cases where a field value contains an OATS defined delimiter or an invalid alphanumeric character. Allowable alphanumeric characters are ASCII characters between 32 and 127.

> 2037 – Invalid Alphanumeric Character
> A field value in the ROE contains a delimiter or a non-allowable ASCII character.

Limit Order Display Flag
The Limit Order Display validation has been updated such that the Limit Order Display Flag must be populated if the Limit Price is populated and the issue is a Nasdaq-listed equity security. The Limit Order Display Flag is not required to be populated for orders in OTC listed equity securities.

The additional Error Code and the Limit Order Display Flag validation change will be available in the OATS Production environment on November 10, 2008 and in the Certification Test environment on October 13, 2008.