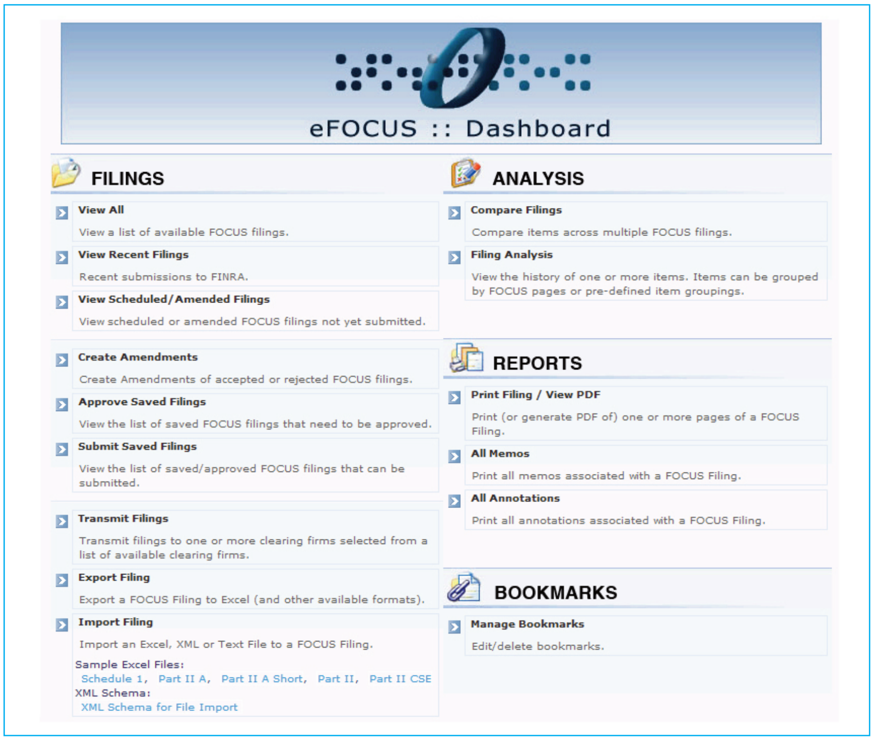
eFOCUS

An enhanced system for submitting FOCUS™ filings to FINRA.

How to access eFOCUS

- Login through the Firm Gateway, which provides consolidated access to FINRA applications and timely information for your firm
- Your existing user ID and password is all you need

https://firms.finra.org/efocus



Accessible through the Firm Gateway, eFOCUS offers:

- A dashboard for quick and easy access to all filings and system features
- Data import capabilities using Excel or XML templates
- Line-item help and history, such as formula components and prior values
- Memos to provide additional line-item or filing detail to FINRA
- Annotations to make filing notes that are not viewable by FINRA
- A streamlined amendment process with status tracking
- An analysis wizard for comparing data within and across filings
- Bookmarking to access often-viewed line items
- Secure transmission of filings to financial institutions of your choice

Visit *www.finra.org/RegulationFiling/FOCUS* to learn more.

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