

Information on the Disclosure Report cannot be removed. FINRA will remove information only if it is factually incorrect.

## Arbitrator Disclosure Report

Arbitrator last affirmed the accuracy of this Disclosure Report on 02/26/2025

Arbitrator disclosure report should be reviewed regularly to refresh the last affirmed date.

### ARBITRATOR

**Name:** Kim Arbitrator **Classification:** Public  
**Arbitrator ID:** A99999 **FINRA Mediator:**  
**CRD #:** **Chair Status:** None  
**City/State/Country:** Denver / CO / United States **DR Portal Registered:** Yes

The City and State reflect the arbitrator's primary residence. They do not reflect the arbitrator's hearing location.

### EMPLOYMENT

<u>Start Date</u>	<u>End Date</u>	<u>Firm</u>	<u>Position</u>
07/2021	Present	HurryUp & Finish, LLC	Attorney/Partner
07/2020	06/2021	Boxer and Boxer	Of Counsel
04/2018	06/2020	Law Office of Kim Arbitrator	Sole Proprietor
04/2013	03/2018	Barnes and Noble	Sales Associate/Manager

### EDUCATION

<u>Start Date</u>	<u>End Date</u>	<u>School</u>	<u>Degree</u>
05/2014	07/2017	University of Denver	Juris Doctorate
08/2010	04/2013	University of Colorado Boulder	B.A. Accounting
08/2009	05/2010	Arapahoe Community College	Enrollment only

### TRAINING

<u>Completed</u>	<u>Course</u>	<u>Details</u>	<u>Firm/School</u>	<u>Hours</u>	<u>Location</u>
09/2024	Enhanced Expungement Training	Required and optional advanced arbitrator trainings in the FINRA system will be automatically added.	FINRA	1	Online
01/2024	Understanding the Prehearing Stage		FINRA	1	Online
12/2022	Basic Arbitrator Training		FINRA	6	Online
12/2022	Expungement online revised - 3/2009		FINRA	1	Online
02/2021	Mediation Training	40 Hour Mediation Training	Colorado Bar Association	40	Colorado
11/2020	Arbitration Training	American Arbitration Association	AAA	40	Colorado

### CONFLICT INFORMATION

A neutral's current relationship with a FINRA member firm is considered a conflict of interest which precludes the neutral from serving on a case involving said firm. FINRA's list selection algorithm automatically screens for known conflicts with FINRA member firms when generating lists of potential neutrals for a case, and, where a known conflict exists, the neutral will not appear on a list in that case.

**Note:** Disclosures of type "Related Conflict With" are automatically added to the list of disclosures by FINRA using data found in the Central Registration Depository (CRD). These disclosures are not provided directly by the neutral.

<u>Type</u>	<u>Firm Name</u>	<u>Details</u>
Has an account with	Wells Fargo Clearing Services, Llc	401K
Related Conflict With	Bateman Eichler, Hill Richards, Incorporated	Conflict due to a merger/acquisition
Related Conflict With	Blunt Ellis & Loewi Incorporated	Conflict due to a merger/acquisition
Related Conflict With	Boettcher & Company, Inc.	Conflict due to a merger/acquisition
Related Conflict With	First Clearing, Llc	Conflict due to a merger/acquisition
Related Conflict With	First Union Brokerage Services, Inc.	Conflict due to a merger/acquisition
Related Conflict With	First Union Capital Markets Corp.	Conflict due to a merger/acquisition
Related Conflict With	Lovett Underwood Neuhaus & Webb, Inc.	Conflict due to a merger/acquisition
Related Conflict With	Prescott, Ball & Turben, Inc.	Conflict due to a merger/acquisition
Related Conflict With	Principal Financial Securities, Inc.	Conflict due to a merger/acquisition
Related Conflict With	Southtrust Securities, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Advisors Financial Network, Llc	Conflict due to firm affiliation
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to firm affiliation
Related Conflict With	Wells Fargo Advisors, Llc	Conflict due to firm affiliation
Related Conflict With	Wells Fargo Clearing Services, Llc	Conflict due to a merger/acquisition
Related Conflict With	Wells Fargo Investments, Llc	Conflict due to firm affiliation

## DISCLOSURE INFORMATION

Neutral disclosures are provided for the parties' information but are not considered conflicts of interest. FINRA's list selection algorithm does not screen disclosure information when generating lists of potential neutrals for a case. The parties may consider this information when making decisions as to neutral selection.

Parties are encouraged to conduct their own due diligence for any prospective neutral, including their social media presence. Keep in mind that social media presence can change as accounts may be opened or closed, privacy settings may be changed and posts can be created, edited and/or taken down by the user. Social media posts made by FINRA neutrals do not reflect the opinions and views of FINRA.

<u>Type</u>	<u>Firm Name</u>	<u>Details</u>
Disclosed Online Activities	Instagram	@themekimarbitrator
Disclosed Online Activities	LinkedIn	<a href="https://linkedin.com/mei-kim-arbitrator">https://linkedin.com/mei-kim-arbitrator</a>
Disclosed Online Activities	YouTube	Denver Presentation - <a href="https://www.youtube.com/watch#V=88WfNo7PbY">https://www.youtube.com/watch#V=88WfNo7PbY</a>
Had an account with	Merrill Lynch	401K
Has made a disclosure about		Neutral filed 2019 Chapter 13 bankruptcy, dismissed 2020
Has made a disclosure about	Bridge to Justice	Volunteer Pro Bono Attorney services
Has made a disclosure about	Colorado Sierra Club	Member Steering Committee 202X

When in doubt,  
disclose!

© 2025 FINRA. All rights reserved.		FINRA Dispute Resolution Services	Arbitrator ID:
Has published		Neutral is an author and has published in several forums, list available	
Is a Licensed	Certified Public Accountant	#159XXX	
Is a Member of Bar Association	Denver Bar Association		
Languages other than English		Spanish (fluent)	
Licensed to Practice Law in	2nd Judicial District	Colorado Judicial Branch	
Licensed to Practice Law in	Colorado	#12345XX	
Mediator for	DBA Court Mediation Services		
Non-investment related lawsuit/ charge		Plaintiff in 2022 products liability case, dismissed	
Previously on Board of Directors	Colorado Women's Bar Association	Vice President 2021 - 2022	
Received Honors	National Association of Pro Bono Professionals	Neiman Award 2024	
Represented in legal action	TopTech Gold	Represented broker in an insurance coverage claim June 2019, concluded	
Was a member of	American Institute of Certified Public Accountants		
Was an Expert/Consultant for	GreenPasture Partners	As expert (2022-2022), concluded	

**PUBLICLY AVAILABLE AWARDS FOR CASES INVOLVING PUBLIC CUSTOMERS**

Publicly Available Awards for Cases Involving Public Customers Section, Current as of 02/26/2025

<u>Case ID</u>	<u>Case Name</u>	<div>The Publicly Available Awards section will be updated automatically when an award is rendered and the case is closed.</div>	<u>Close Date</u>	<u>Role</u>
<a href="#">24-0007X</a>	Daniel Bennett Abbott vs. Oppenheimer & Co., Inc.		10/16/2024	Panelist

**PUBLICLY AVAILABLE AWARDS FOR CASES NOT INVOLVING PUBLIC CUSTOMERS**

Publicly Available Awards for Cases NOT Involving Public Customers Section, Current as of 02/26/2025

<u>Case ID</u>	<u>Case Name</u>	<u>Close Date</u>	<u>Role</u>
There is no award information.			

**CASES CURRENTLY ASSIGNED INVOLVING PUBLIC CUSTOMERS**

<u>Case ID</u>	<u>Parties and Current Representatives of Record</u>	<u>Date Assigned</u>	<u>Role</u>
23-0001X	Customer (Counsel: Merle Baileyhood, Durango, CO) vs. Robinhood Financial, Llc (Counsel: Jules Vernon, Denver, CO)	02/15/2023	Panelist
23-000XX	Customer (Counsel: Manny Jimenez, Denver, CO) vs. Merrill Lynch Pierce Fenner & Smith Inc. (Counsel: Avery Maixner, Los Angeles, CA)	02/15/2023	Panelist

**CASES CURRENTLY ASSIGNED NOT INVOLVING PUBLIC CUSTOMERS**

<u>Case ID</u>	<u>Parties and Current Representatives of Record</u>	<u>Date Assigned</u>	<u>Role</u>
There are no currently assigned cases.			

**ARBITRATOR BACKGROUND INFORMATION**

When I finished law school, I opened a solo practice working for an underserved community in Denver. I gained the majority of

Please check your capitalization, punctuation and line spacing. Avoid using excessive acronyms.

To maintain accuracy and consistency, arbitrators should review and update their background information when making changes to other sections of the Disclosure Report.



my experience as a litigation associate at Boxer & Boxer in the practice areas of products liability, legal and medical malpractice, civil rights and domestic violence concerns, contracts and a smaller focus on employment law. In my current position as Junior Partner at HurryUp & Finish, LLC, I supervise three attorneys and 2 paralegals concerning standard litigation. My current firm has 18 employees. Part of my current position is to also negotiate collective bargaining agreements and advise management on all aspects of employer/employee relationships on cases that are assigned to me.

My professional activities include being a panelist for the Denver Bar Association's annual workshop on "Mediation and Arbitration as an Alternative Forum" in December 2023. In my community I have served on the Colorado Women's Bar Association Board of Directors in 2021 - 2022. I have also volunteered as a Pro Bono attorney for Bridge to Justice, a 501(c)(3) Colorado nonprofit organization that provides civil legal services to low- and moderate-income Coloradans who do not qualify for free legal aid.

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