



Investor Newsletter

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INVESTOR ALERTS



Viral Disease Stock Scams: Don't Let Them Infect Your Portfolio

If history is a guide, dramatic news coverage of viral outbreaks, including Ebola and Middle East Respiratory Syndrome (MERS), will likely catch the interest of stock scammers looking to capitalize on fears of a potential pandemic. Don't fall for the hype. We've issued this [alert](#) to warn investors to be wary of promotions touting stocks that claim to protect against the spread of viruses or other harmful diseases. Aggressive stock promotional tactics may signal a potentially fraudulent scheme.

Investor Bulletin: Trading Suspensions

The SEC's Office of Investor Education and Advocacy issued this [bulletin](#) to help investors understand trading suspensions. The federal securities laws generally allow the SEC to suspend trading in any stock for up to 10 business days. This bulletin answers common questions, and includes a link to a list of companies whose stock is currently subject to an SEC trading suspension, or which previously had been subject to an SEC trading suspension.

INVESTOR RESOURCES

Podcast: 5 Tips for Keeping Track of Your Investments

Markets move every day, and your investment priorities can change over time. So it's important to stay on top of how your portfolio is performing. It's also crucial to keep track of your investments, so minor mistakes don't turn into bigger problems, whether you work with an investment professional or trade on your own.

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RECENT ALERT

[Updated: "Phishing" and Other Online Identity Theft Scams: Don't Take the Bait](#)

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**Be Careful Who You
Trust**

Laura Astorga works longer hours these days. She needs to, so she can rebuild her nest egg. She lost most of it in a Ponzi scheme aimed at the Hispanic community in Los Angeles. It was orchestrated by Juan Rangel. He used a range of influence tactics to lure Astorga and his other victims—including playing up his ethnic identity. This tactic is called affinity fraud. Read [more](#) about affinity fraud and how you can protect yourself.

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8/12/14 — [Military Spouses Receive Fellowships to Conduct Financial Counseling](#)

7/23/14 — [SEC Approves FINRA Rule to Prohibit Conditioning Settlements on Expungement](#)

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