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July 2015



INVESTOR ALERT

Bond Liquidity: Factors to Consider and Questions to Ask

This [alert](#) explains bond liquidity, and the potential for decreased liquidity and investment losses for those who sell their bonds before maturity at a time of market stress. It focuses on the liquidity of individual bonds, and does not address liquidity issues related to bond funds.

PODCAST

What You Should Know About Automated Investment Tools

At the swipe of a fingertip or the click of a mouse, you can access a broad range of automatic investment tools. These tools may offer benefits, but it's important to understand their risks and limitations before using them.

[Listen](#) | 5 min. 43 sec.



INVESTOR RESOURCES

What You Should Know About Reverse Mortgages

Ads for reverse mortgages can give the false impression that they are an easy, cost-free way for retirees to finance vacations and leisurely lifestyles. But reverse mortgages aren't free money. Misusing them—or misunderstanding how they work—can jeopardize your financial future. [Learn more.](#)



Video: Making Ends

Meet

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[Plan for Transition: What You Should Know About the Transfer of Brokerage Account Assets on Death](#)

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Almost one in five Americans struggle to make ends meet. The good news is that in most cases spending can be controlled. [Watch our video or see the infographic](#) to learn more.



All About IRAs

Want to know more about Individual Retirement Accounts (IRAs)? FINRA has expanded its [IRA content](#), which now includes IRA Basics, How Does an IRA Work? and Types of IRAs.

FINRA NEWS

7/16/15 — [FINRA Solicits Comment on New Academic TRACE Data Set](#)

7/6/15 — [FINRA Orders Wells Fargo, Raymond James, and LPL Financial to Pay More Than \\$30 Million in Restitution to Retirement Accounts and Charities Overcharged for Mutual Funds](#)

6/26/15 — [FINRA Supports NAC Members, Chris Brummer During Online Harassment](#)

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