

DESIGNATED PARTICIPANT AUTHORIZATION

under SEC Rule 605 (formerly 11Ac1-5)

Name:		
Title:		
Market Center Name & MPID:		
Mailing Address:		
E-mail Address:		
Telephone No.:		
Do you hereby authorize FINRA as the market center/trading venue's Designated Participant ("DP") under SEC Rule 605?		☐ YES ☐ NO
Is this an initial authorization or an amendment to a previously filed authorization?		☐ INITIAL ☐ AMENDMENT
Market Center's Download Site (URL): _____		
Please specify a date for FINRA to add the URL as indicated above on the Rule 605 Web site:		Date:
Signature:		Date:
<i>For Administrator Use</i>		
Market Center Identification Code:		Date:
Employee:		

RETURN FORM TO:

9509 Key West Avenue, Rockville, MD 20850 or Fax to 240-386-5139
Attn: FINRA Market Regulation – 4th Floor

NOTE: Please type or print in black ink.

Revised: 06-01-11