BREAKPOINT TASK FORCE – WORKING GROUPS
Roster of Members

COMMON DEFINITIONAL STANDARDS WORKING GROUP (Recommendation A)

- Working Group Chairman: Marlene Mills
- Working Group Members:
  - Stuart Bowers
  - Donald Caristi
  - Amy Carter
  - Barry Feller
  - M.J. Hamilton
  - Chris Hansen
  - Scot Hawthorne
  - Sharon Hockersmith
  - Jacqueline Nusbaum
  - Carsten Otto
  - Gary Rawdon
  - Barbara Simon
  - Mark Swenarton
  - Cheryl Walker
  - Doug Wood

CENTRAL BREAKPOINT WORKING GROUP (Recommendation B)

- Working Group Chairman: Barbara Simon
- Working Group Members:
  - William Alcover
  - Ginny Cattaneo
  - Ira Cohen
  - Elisa Colkitt
  - James Coscia
  - Steve Cutka
  - Colleen Daly
  - Reno A DeTillio
  - Mike Doyle
  - Robert Green
  - M.J. Hamilton
  - Tom Iandolo
  - Valerie Kay
  - Dan Letourneau
  - Dave Long
  - Kyle Mallot
  - Susan Mathai
  - Kirsti Munro
  - Tim Ney
  - Robert North
  - Jacqueline Nusbaum
  - Nino Palermo
  - Al Rubin
  - John Taylor
  - Beth Walker
  - Susan Warner
  - James Waters
  - Jim Whittemore
  - Bill Winter
  - Doug Wood
PROSPECTUS/WEBSITE DISCLOSURE AND CUSTOMER ROLE IN ASSISTING IN SECURING BREAKPOINTS WORKING GROUP (Recommendations C and G)

- **Working Group Chairman:** Frances Stadler

- **Working Group Members:**
  - Ed Bergan
  - Dorothy Bourassa
  - Anmarie Ciccolo
  - Buddy Donohue
  - Katherine Feld
  - Art Fritz
  - Ken Gorvetzian
  - Mike Hession
  - Allan Oster
  - Domenick Pugliese
  - Christina Simmons
  - Bill Woolverton
  - Ken Gorvetzian

CONFIRMATION DISCLOSURE WORKING GROUP (Recommendation D)

- **Working Group Chairman:** Stuart Bowers

- **Working Group Members:**
  - Joe Charpentier
  - Jim Coscia
  - Russell Curtis
  - Jerry Fiore
  - Lisa Flannery
  - Eileen Gilfedder
  - Kelle Hennier
  - Valerie Kay
  - Tim Maisonet
  - Steve Oppenheimer
  - Nino Palermo
  - Bob Palleschi
  - Justine Phoenix
  - Fred Potts
  - Tom Roberts
  - Denise Samson
  - Darryl Siefker
  - Barbara Simon
  - Richard Smith
  - Randy Solomon
  - Jim Whittemore
USE OF STANDARDIZED CHECKLISTS/RECORD OF LINKAGE INFORMATION WORKING GROUP (Recommendations E and F)

- **Working Group Chairman:** William Alsover
- **Working Group Members:**
  - Hardy Calcott
  - Donna Daniels
  - David Falb
  - Hugh Makens
  - Tony Naccarelli
  - Tim Ney
  - Bob Patterson
  - Mark Swenarton
  - Eric Tepper

CONFIRMATION BREAKPOINT LEGEND/WRITTEN DISCLOSURE DOCUMENT WORKING GROUP (Recommendations H and I)

- **Working Group Chairman:** Mike Stone
- **Working Group Members:**
  - Dorothy Bourassa
  - Louis Branz
  - Ken Gorvetzian
  - Mike Hession
  - Larry Lafer
  - Brett Meili
  - Bob Palleschi
  - Robert Patterson
  - Domenick Pugliese
  - Bill Rittling
  - Tamara Salmon
  - Jim Shay
  - Christina Simmons
  - Mark Swenarton
  - Daniel Wentworth
  - Bill Young

REGISTERED REPRESENTATIVE TRAINING WORKING GROUP (Recommendation J)

- **Working Group Chairman:** Ann Short
- **Working Group Members:**
  - William Alsover
  - Jonathan Davis
  - Bob Gulick
  - Jeff Holik
  - John Linnehan
  - Janene Marasciuollo
  - Paul Middlemiss
  - John Moloney
  - Eric Moss
  - Tami Salmon
  - Gregg Tyler
  - Mike Udoff
  - Michael Rufino
B/D TRANSMISSION OF TIN/BIN DATA TO FUNDS AND TRANSFER AGENT SEARCHES
WORKING GROUP (Recommendations L and M)

- **Working Group Chairman:** Lisa Klassen

- **Working Group Members:**
  
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<tr>
<th>Steve Avera</th>
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