

Interpretations of Rule 4210

On October 27, 2021, we changed the format of the interpretations for our margin rule (FINRA Rule 4210) that we provide on the FINRA website. To improve the usability of the interpretations, we changed the interpretations to HTML format from the prior portable document format (pdf) version. The interpretations are available at: https://www.finra.org/rules-guidance/interps-4210. Please update any bookmarks or references to the new location. The pdf version has been retired and all future updates will be published in the new location.

FINRA will continue to announce any future updates to the interpretations in Regulatory Notices and similar communications.